

Lethal Borna disease virus 1 (BoDV-1) infections of humans and animals – in-depth molecular epidemiology and phylogeography

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Lethal Borna disease virus 1 (BoDV-1) infections of humans and animals – in-depth molecular epidemiology and phylogeography

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51 **Abstract**

52 Borna disease virus 1 (BoDV-1) is the causative agent of Borna disease, a progressive and mostly fatal
53 neurologic disorder of domestic mammals and humans, resulting from spill-over infection from its
54 natural reservoir host, the bicolored white-toothed shrew (*Crocidura leucodon*). The known BoDV-1
55 endemic area is remarkably restricted to parts of Germany, Austria, Switzerland and the Principality of
56 Liechtenstein.

57 To gain comprehensive data on the occurrence of BoDV-1, we analysed diagnostic material from
58 suspected fatal BoDV-1-induced encephalitis cases in domestic mammals and humans. BoDV-1
59 infection was confirmed by RT-qPCR in 207 of 231 domestic mammals (89.6%), 28 of 29 humans
60 (96.6%) and seven shrews, mainly within the known endemic area. By reporting multiple unpublished
61 cases, this study raises the number of published laboratory-confirmed human BoDV-1 infections to 46
62 and provides a first comprehensive summary.

63 Generation of 136 new complete or partial BoDV-1 genome sequences from animals and humans
64 facilitated an in-depth phylogeographic analysis. Consistent with the low mobility of its reservoir host,
65 BoDV-1 sequences showed a remarkable geographic association, with individual phylogenetic clades
66 occupying distinct and barely overlapping dispersal areas. The closest genetic relatives of most human-
67 derived BoDV-1 sequences were located at distances of less than 40 km from the patient's residence,
68 indicating that spill-over transmission from the natural reservoir usually occurs in the region of the
69 patient's residence.

70 In summary, the novel and extended phylogeographic data allow for the definition of risk areas for
71 zoonotic BoDV-1 transmission and facilitate the assessment of geographical sources for individual
72 infection events.

73 **Introduction**

74 Borna disease virus 1 (BoDV-1, species *Orthobornavirus bornaense*, family *Bornaviridae*) is the
75 causative agent of Borna disease, a severe and often fatal neurologic disease of various domestic
76 mammals, particularly horses, sheep, and New World camelids [1](#), [2](#), [3](#), [4](#), [5](#). Recently, the virus received
77 increased attention following confirmation of fatal BoDV-1-induced encephalitis in humans [6](#), [7](#), [8](#), [9](#), [10](#), [11](#),
78 [12](#), [13](#), [14](#), [15](#), [16](#), [17](#), [18](#). In domestic mammals and humans, BoDV-1 establishes a persistent and strictly
79 neurotropic infection mainly of the central nervous system, with so far no evidence of shedding of
80 infectious virus. The infection usually results in non-purulent encephalomyelitis due to T lymphocyte-
81 mediated immunopathology with a high case fatality rate [1](#), [7](#), [9](#), [19](#), [20](#). The incubation period is
82 presumably highly variable and assumed to range from several weeks to a few months in most cases [8](#),
83 [21](#), [22](#). Affected individuals usually develop fever accompanied by headaches in humans, followed by a
84 broad range of behavioural and neurologic disorders, including apathy, compulsive movements,
85 seizures, ataxia or blindness. In most cases, the disease progresses to coma and death within days to
86 months [1](#), [2](#), [6](#), [7](#), [10](#), [17](#), [23](#), [24](#). Several compounds have been identified to possess antiviral activity against
87 orthobornaviruses in cell culture but no therapeutic regime for animals or humans has been
88 established yet [25](#), [26](#), [27](#), [28](#), though in some human cases intensive treatment attempts were made [15](#)¹⁵.
89 As licensed vaccines against BoDV-1 are likewise not available [29](#), prophylactic measures are limited to
90 reducing exposure to the BoDV-1 reservoir.

91 The only known natural reservoir host of BoDV-1 is the bicolored white-toothed shrew (*Crocidura*
92 *leucodon*), in which BoDV-1 is not strictly neurotropic but also infects epithelial cells in various organs,
93 thereby allowing for shedding of infectious virus via saliva, faeces, urine, and skin scales [4](#), [30](#), [31](#), [32](#), [33](#).
94 However, the routes of BoDV-1 transmission within shrew populations as well as for spill-over to
95 domestic mammals and humans remain largely unknown [1](#), [17](#), [33](#), [34](#). The known distribution range of
96 bicolored white-toothed shrews covers large parts of the temperate zone of Europe and Western Asia,
97 extending from the Atlantic ocean to the Caspian Sea [35](#), [36](#). Nevertheless, BoDV-1 appears to be
98 prevalent only in comparably limited regions covering parts of Eastern and Southern Germany, Austria,
99 Switzerland and the Principality of Liechtenstein, based on the occurrence of BoDV-1 infection in spill-

100 over hosts [4](#), [7](#), [24](#), [32](#), [37](#). Previous work had demonstrated BoDV-1 sequences to constitute four separate
101 phylogenetic clusters (designated 1 to 4) with two subclusters (1A and 1B), which appear to be
102 associated with different regions within the endemic area [4](#), [7](#), [8](#), [11](#), [12](#), [24](#), [32](#), [34](#), [37](#), [38](#), [39](#), [40](#).

103 The aim of this study was to provide an in-depth analysis of the molecular epidemiology and
104 phylogeography of BoDV-1, in particular its spatial distribution in Germany and neighbouring
105 countries, and to assess geographic risk areas for potential spill-over transmission to domestic animals
106 and humans. As the available data on the occurrence of BoDV-1 in shrew populations are highly
107 fragmentary and strongly dependent on the activities of a small number of research groups [4](#), [24](#), [30](#), [31](#), [32](#),
108 [33](#), [41](#), we collected material from archived and current cases of Borna disease in domestic mammals,
109 serving as indicators for the presence of the virus. Additional diagnostic samples were obtained from
110 BoDV-1-infected human patients and bicolored white-toothed shrews. BoDV-1 sequences were
111 generated from this material and used for phylogeographic analysis including also sequence data
112 derived from public databases.

113 **Material and Methods**

114 **Acquisition of sample material**

115 Veterinary pathologists and federal and private veterinary diagnostic laboratories in Germany,
116 Switzerland and Austria were informed about the study through presentations at scientific meetings,
117 publications in national specialist journals, via mailing lists of expert societies and by direct contact. In
118 total, 20 institutions provided fresh-frozen or formalin-fixed paraffin-embedded (FFPE) brain tissue or
119 cerebrospinal fluid (CSF) from 231 archived or current suspected BoDV-1 infections in domestic
120 mammals (including few zoo animals; Table 1; Extended Data Table 1). Some, but not all, of these
121 infections had already been diagnosed by the submitting diagnostic laboratories. In addition, brain
122 samples from 29 archived or recent human BoDV-1 encephalitis cases were obtained from diagnostic
123 centres and pathologists in Germany. These cases included unpublished cases as well as previously
124 published cases without available BoDV-1 sequence [7](#), [9](#), [14](#), [16](#), [17](#), [18](#) (Table 1). In addition, samples from
125 seven BoDV-1-positive bicolored white-toothed shrews were obtained from an ongoing large-scale
126 small mammal screening study (Haring et al., manuscript in preparation; Table 1). Furthermore, an
127 original vaccine vial containing the historic BoDV-1 live vaccine strain ‘Dessau’, herein referred to as
128 ‘DessauVac’ (batch 193 02 90; kindly provided by Sven Springer, IDT Biologika, now Ceva Santé
129 Animale, Dessau-Rosslau, Germany), and the cell culture isolate H24 [38](#) were included for sequence
130 analysis. In addition, the horse-derived cell culture isolates H640 and H3053 [37](#) were kindly provided
131 for resequencing by Sybille Herzog (Gießen, Germany).

132 **Acquisition of sample metadata**

133 In order to facilitate spatio-temporal analyses, detailed metadata were requested from the submitters,
134 including geographic location (postal code) and date of sampling, which was usually the date of death.
135 Age, sex and date of hospital admission were recorded additionally for human cases. For animal cases,
136 the accuracy of the geographic location was non-hierarchically categorized as follows: (1) the location
137 of the animal husbandry is known, (2) the address of the owner is known, but the location of the
138 husbandry is unknown and may be different, (3) only the submitting veterinary practice/clinic is

139 known, (4) only the administrative district of origin is known, (5) no information on the accuracy of the
140 location is available.

141 In addition to the samples analysed in this study, previously published BoDV-1 sequences available via
142 GenBank were included in the phylogeographic analysis (Table 1). The metadata described above
143 (location, accuracy of location, host species, date of death or sampling) were assembled also for these
144 cases, based on the available literature [4](#), [6](#), [7](#), [9](#), [10](#), [11](#), [12](#), [13](#), [15](#), [21](#), [24](#), [32](#), [37](#), [38](#), [39](#), [42](#). Missing data were completed
145 by contacting the authors and/or the initial submitters, if possible.

146 The species of the seven analysed yellow-necked field mice had been confirmed by sequence analysis
147 of the cytochrome B gene [43](#).

148 **Extraction of total RNA**

149 Fresh-frozen samples were mechanically disrupted in 1 ml TRIzol reagent (Life Technologies,
150 Darmstadt, Germany) by using the TissueLyser II (Qiagen, Hilden, Germany), according to the
151 manufacturers' instructions. After the addition of 200 µl chloroform and a centrifugation step (14,000
152 x *g*, 10 min, 4°C), the aqueous phase was collected and added to 250 µl isopropanol. Total RNA was
153 extracted using the silica bead-based NucleoMagVet kit (Macherey & Nagel, Düren, Germany) with the
154 KingFisher™ Flex Purification System (Thermo Fisher Scientific, Waltham, MA, USA) according to the
155 manufacturers' instructions.

156 Additional RNA extraction from fresh-frozen samples selected for high-throughput sequencing (HTS)
157 was performed according to Wylezich et al. [44](#). Briefly, the tissue was rapidly frozen in liquid nitrogen
158 and subsequently pulverized using the Covaris cryoPREP (Covaris, Brighton, UK). The resulting
159 powdered tissue was then dissolved in pre-warmed 1 ml lysis buffer AL (Qiagen). RNA was extracted
160 using the RNAdvance tissue kit (Beckman Coulter, Germany), including a DNase I (Qiagen) digestion
161 step, in combination with a KingFisher Flex purification system (Thermo Fisher Scientific, Germany),
162 according to the manufacturers' instructions. Total RNA was eluted in 100 µl nuclease-free water.

163 Total RNA from FFPE brain tissues was extracted as described previously [45](#). Briefly, two FFPE sections
164 of <10 µm thickness underwent deparaffinisation and proteinase K digestion employing the Covaris

165 truXTRAC FFPE total NA kit before RNA extraction, according to the manufacturer's instructions,
166 resulting in 100 µl supernatant. To prevent the transfer of paraffin residues, formalin de-crosslinking
167 was carried out using 85 µl of the supernatant in a clean 1.5 ml reaction tub (80 °C, 30 min,
168 thermomixer). Subsequently, 175 µl of B1 Buffer from the Covaris kit and 250 µl of 65% isopropanol
169 were added, mixed, and briefly centrifuged. Subsequently, RNA extraction was performed using the
170 Agencourt RNAdvance Tissue Kit, as described above.

171 **Detection of BoDV-1 RNA by RT-qPCR**

172 BoDV-1 RNA was detected using two BoDV-1-specific RT-qPCR assays (Mix-1 & Mix-6) detecting
173 phosphoprotein (P) and matrix protein (M) gene RNA, respectively (Extended Data Table 2), as
174 described in detail elsewhere ⁸. Exogenously supplemented, *in vitro*-transcribed RNA of the enhanced
175 green fluorescence protein (eGFP) gene or host-derived beta-actin RNA were amplified as extraction
176 control or RNA quality control, respectively, following previously described protocols ^{46, 47} (Extended
177 Data Table 2).

178 **High-throughput sequencing**

179 HTS was performed for 114 selected BoDV-1 cases, including the cell culture isolates H24 and
180 DessauVac (Table 1). Selection criteria for animal samples included spatial proximity to known human
181 BoDV-1 cases or the occurrence in regions from which no or only few BoDV-1 sequences were
182 available. If more than one case was available from a particular location, samples with higher predicted
183 ratios of BoDV-1 RNA (indicated by lower RT-qPCR cycle of quantification [Cq] values) versus total RNA
184 concentration were selected ⁴⁸. Libraries with an average DNA fragment size of 500 base pairs (bp)
185 were prepared from fresh-frozen BoDV-1-positive brain samples with sufficient RNA quality following
186 the procedure described by Wylezich et al. ⁴⁴ with modifications by Szillat et al. ⁴⁹. Modified library
187 preparation protocols were used for FFPE-samples as well as for fresh-frozen samples with lower RNA
188 quality, resulting in a mean DNA fragment size of 200 bp ⁷. Library quantification was carried out with
189 the QIAseq Library Quant Assay Kit (Qiagen). Sequencing was performed using an Ion Torrent S5 XL
190 instrument (Thermo Fisher Scientific). Libraries of 500 bp DNA fragment size were sequenced in 400

191 bp runs using Ion 530 chips, while libraries of 200 bp DNA fragment size were sequenced in 200 bp
192 runs using Ion 540 or Ion 550 chips on an Ion S5 XL instrument.

193 HTS datasets were adapter- and quality-trimmed using the default settings of the 454 software suite
194 (v3.0; Roche) before BoDV-1 reads were identified and extracted by mapping to the BoDV-1 reference
195 sequence NC_001607. Duplicate BoDV-1 reads caused by library amplification were then removed
196 using the SeqKit tool version 0.15.0 [50](#). Mapping to the reference sequence was repeated for the
197 remaining BoDV-1 reads. In parallel, the remaining BoDV-1 reads were *de novo* assembled using the
198 454 software suite and SPAdes v3.13.1 [51](#). The accuracy of the resulting contigs was checked by
199 comparing the consensus sequences generated by both approaches with each other and by sequence
200 annotation as described below. Discrepancies were checked by reviewing the raw data from mapping
201 and assembly, followed by manual sequence curation.

202 **BoDV-1 target enrichment by hybridisation-based capture technology.**

203 Enrichment of BoDV-1 specific library DNA fragments was performed for 16 selected samples for which
204 insufficient BoDV-1 sequence information had been obtained by standard HTS (Table 1). For this
205 purpose, an RNA bait set was designed for sequences representing all known members of the family
206 *Bornaviridae* [5](#), resulting in 17,858 non-redundant specific RNA baits and providing a three-fold genome
207 coverage with a length of 80 nucleotides (nt) per probe (myBaits® kit with 1–20K unique baits; Arbor
208 Bioscience, Ann Arbor, MI, USA). The procedure was performed according to the manufacturer's
209 instructions with minor modifications. Briefly, 7 µl of each DNA library were combined with the
210 blocking reagent mix of the kit. After denaturation, 20 µl of a pre-warmed hybridisation mix, including
211 the baits, was added. One volume of mineral oil was used to seal the reaction mix before incubation
212 for 24 h at 65°C and shaking at 550 rpm in a thermomixer. The aqueous phase was then transferred to
213 a low-binding tube and purified using the binding beads from the myBaits® kit. The enriched target
214 library DNA was finally eluted in 35 µl of 10 mM Tris-HCl, 0.05% Tween-20 solution (pH 8.0-8.5) and
215 amplified in duplicates (16 µl DNA each) using the GeneRead DNA Library L amplification Kit (Qiagen)
216 with 10 cycles (denaturation: 2 min at 98°C; amplification for 10 cycles: 20 sec at 98°C, 30 sec 60°C,

217 and 30 sec at 72°C; final elongation: 1 min at 72°C). Subsequently, both duplicates were pooled and
218 purified twice by adding 0.65 or 1.2 volumes of Agencourt AMPure XP Beads (Beckman Coulter) for
219 500 bp or 200 bp libraries, respectively. Enriched libraries were eluted in 30 µl buffer EB (Qiagen).
220 Quality control and quantification of the eluted libraries as well as HTS were performed as described
221 above.

222 **Sanger sequencing**

223 Partial BoDV-1 genome sequences were generated by Sanger sequencing for 43 BoDV-1-positive
224 fresh-frozen brain samples (Table 1), following previously described procedures [24](#). Briefly, a 2,272-
225 nucleotide (nt) long sequence representing BoDV-1 genome positions 20 to 2,291 (spanning the
226 nucleoprotein [N], accessory protein [X], P and partial M genes) was determined by sequencing two
227 overlapping PCR products using BoDV-1-specific primers (Extended Data Table 2). The final consensus
228 sequence was generated by assembly of the overlapping raw sequences after trimming of primer-
229 derived sequence ends and manual quality control.

230 Sanger sequencing was also used to fill gaps or confirm not sufficiently reliable positions in sequences
231 generated by HTS. For this purpose, BoDV-1-specific primer pairs were selected to generate amplicons
232 of approximately 120 to 180 bp length to cover the respective sequence regions. Primer sequences are
233 available upon request.

234 **Sequence annotation and database submission**

235 BoDV-1 sequences of sufficient length were generated from 136 of 157 selected individuals, including
236 102 domestic mammals, 25 humans, all seven bicolored white-toothed shrews as well as the
237 laboratory isolates DessauVac and H24 (Table 1). These sequences included 54 complete coding
238 genomes and 82 sequences covering at least the N-X/P genes (Table 1).

239 Open reading frames (ORFs) were identified by ORF Finder (implemented in Geneious Prime®
240 2021.0.1) and verified by sequence alignment to the reference sequence. All sequences generated in

241 this study are available in the INSDC databases under accession numbers OR203629, OR203630,
242 OR468838 to OR468971.

243 Two previously published isolates (H640 and H3053) were re-analysed, because it was suspected that
244 they may have been interchanged in the original study [37](#). As the re-analysis of the original isolates
245 confirmed this suspicion, the corresponding GenBank entries have now been corrected (accession
246 numbers AY374523.2 and AY374537.2).

247 **Phylogenetic analysis**

248 Phylogenetic analysis of sequences generated in this study was performed together with those publicly
249 available BoDV-1 sequences, which covered at least the N-X/P genes and for which sufficient metadata
250 are available. The used public sequences originated from 55 domestic mammals, 16 human cases, 36
251 shrews and three laboratory strains isolated from domestic mammals (Table 1). Duplicate sequences
252 originating from the same individual as well as sequences previously identified as laboratory
253 contaminants were excluded from the analysis [7](#), [38](#), [39](#).

254 Maximum likelihood (ML) trees were constructed individually for 90 complete-coding sequences of
255 BoDV-1 genomes and 246 sequences spanning the N-X/P genes (1,824 nt, corresponding to genome
256 positions 54 to 1,877). For these analyses, the BoDV-2 No/98 sequence (AJ311524) was used as an
257 outgroup. After sequence alignment using MUSCLE (version 3.8.425) [52](#), the IQ-TREE software (version
258 2.2.2.6) [53](#) was used for phylogenetic reconstruction with automatic model selection (SYM+G4 for
259 complete genomes and GTR+F+I+G4 for N-X/P genes) [54](#). Branch support was assessed using SH-aLRT
260 and ultrafast bootstrap tests, with 100,000 replicates for each test [55](#), [56](#). Nodes were extracted for
261 better visualization using the ggtree R package [57](#) in R Studio [58](#) with R v4.0.2 [59](#). Heatmap analysis of
262 the genetic cluster similarities was performed using the pheatmap R-package [60](#).

263 **Temporal and spatial correlation analysis**

264 Root-to-tip distances and pairwise patristic distances (as nt substitutions per position) were inferred
265 from the ML tree of 247 N-X/P sequences (incl. the BoDV-2 sequence). Temporal correlations were

266 tested by linear regression analysis of root-to-tip distances against year of sampling [61](#). Sequences
267 originating from laboratory strains were excluded from the analysis.

268 Isolation by distance (IBD) analysis was performed, testing the correlation of pairwise patristic
269 distances and geographic distances for all BoDV-1 sequences with available location (n=238) as well as
270 within the individual BoDV-1 clusters and subclusters. IBD matrix correlations were tested in R using
271 the “mantel” function of the “vegan” package (Spearman’s rho statistic and 9999 permutations).

272 **Determination of BoDV-1 endemic areas**

273 Geospatial data analysis and modelling was performed in R Studio with the packages rnatuarearth [62](#)
274 and ggplot2 [63](#). Non-parametric kernel density estimation (KDE) was used to visualize spatial
275 distribution patterns of mapped BoDV-1 cases. KDE was performed independently for each
276 phylogenetic cluster or subcluster as well as for sequences of all clusters and subclusters combined.
277 BoDV-1 sequences identified as phylogeographic outliers by using the outlier definition described in
278 detail in the results section (presence of no other BoDV-1 N-X/P sequence with $\geq 98.6\%$ nt identity
279 within a distance of ≤ 37.9 km) were excluded from the KDE.

280 The two-dimensional KDE, implemented in ggplot as the “stat_density_2d” function [64](#), was used with
281 a polygon as the bounding box of estimated endemic regions. To smoothen the polygon, n=100 grid
282 points were defined in each direction. A low bandwidth (h) was set empirically for both approaches in
283 order to minimize the extent of the estimated areas beyond the confirmed cases (subcluster 1A: 1;
284 subcluster 1B: 0.5; cluster 2: 0.6; cluster 3: 0.75; cluster 4: 0.65; combination of all clusters: 1.0).

285

286 **Results**

287 **RT-qPCR confirmation of BoDV-1 infections in domestic mammals, humans and shrews**

288 Veterinary and human pathologists and diagnostic laboratories submitted fresh-frozen or FFPE
289 samples from 231 suspected BoDV-1 infections in domestic mammals, 29 humans and seven BoDV-1-
290 positive shrews. The animals originated mainly from the known endemic regions of Germany (Bavaria,
291 BY; Saxony-Anhalt, ST; Saxony, SN; Brandenburg, BB), Switzerland (Grisons, GR), the Principality of
292 Liechtenstein and Austria (Vorarlberg, VA), with few exceptions originating from regions in Germany
293 and Switzerland not previously known to be endemic for BoDV-1 (Figure 1A). RT-qPCR confirmed the
294 BoDV-1 infection for 207 out of 231 domestic mammals (89.6%) and all analysed shrews. Of the 29
295 human cases analysed in this study, 28 (96.6%) could be confirmed by RT-qPCR. RT-qPCR and HTS
296 remained negative for FFPE brain sections from a previously published case from Lower Saxony (NI) in
297 1992 [13](#), possibly due to low RNA quality resulting from long-term storage of the material.

298 The M gene-specific RT-qPCR BoDV-1 Mix-6 yielded significantly lower Cq values for FFPE material than
299 the P gene-specific BoDV-1 Mix-1 ($P < 0.0001$; paired Student's t-test), whereas Mix-1 achieved
300 significantly lower Cq values for fresh-frozen samples ($P < 0.0001$; Extended Data Figure 1). The
301 apparently higher sensitivity of Mix-6 for FFPE-derived RNA, as compared to Mix-1, is presumably due
302 to its shorter amplicon (75 vs. 162 bp), allowing for a more efficient detection of highly degraded RNA.

303 **BoDV-1 infections in domestic mammals and humans**

304 Samples from most of the 207 confirmed BoDV-1 infections in domestic mammals were collected
305 between 2000 and 2023, but individual cases dated back as far as 1964 (Extended Data Figure 2A and
306 2B). The seasonal pattern of Borna disease in domestic mammals was analysed for all
307 RT-qPCR-confirmed cases together with all previously published cases included in this study with
308 available information on month of death (Table 1). Death of BoDV-1-infected animals peaked in May
309 and June, whereas the lowest numbers were observed during September to November (Extended Data
310 Figure 3A).

311 This study raises the number of published laboratory-confirmed human BoDV-1 infections to 46
312 (Table 1), following the case definition of Eisermann et al. [11](#), which requires direct virus from the
313 patient. Twenty-eight cases were confirmed by RT-qPCR during this study (including previously
314 published cases without BoDV-1 sequence; [7, 9, 14, 16, 17, 18, 65, 66, 67](#)). BoDV-1 sequences were available from
315 public databases for further 16 previously published human cases (Table 1) [6, 7, 8, 10, 11, 12, 13, 15](#). Despite
316 the lack of direct virus detection, the donor and the surviving liver recipient of a previously published
317 solid organ transplant cluster were likewise regarded as confirmed cases due to their seroconversion
318 and their unequivocal link to the confirmed BoDV-1 infections in both kidney recipients [8](#).

319 The metadata assembled for all 46 patients (20 females and 26 males) revealed a diagnosis of
320 fulminant encephalitis for 45 patients, with a fatal outcome in 44 of the encephalitic patients (97.8%).
321 The only exceptions from these characteristics were the transplant donor and the liver recipient of the
322 previously published solid organ transplant cluster. While the donor had died of an unknown cause
323 without brain histopathology being performed, the liver recipient survived the acute encephalitis with
324 severe sequelae [8](#). The age of the patients ranged from 7 to 79 years (median 53.5 years; Extended
325 Data Figure 4A). The first of these confirmed cases occurred in 1996 and had been diagnosed
326 retrospectively [10](#). Six patients died in 2016, representing the highest number of confirmed non-
327 transplant-derived cases per year (Extended Data Figure 2C). The highest number of deaths was
328 recorded in November (Extended Data Figure 3B), while the highest number of hospitalizations was
329 reported in May (Extended Data Figure 3C). The median time from hospital admission to death was 29
330 days (range: 4 to 274 days; Extended Data Figure 4B).

331 **Phylogenetic analysis identifies a novel BoDV-1 cluster 5**

332 During this study, BoDV-1 sequences covering the complete coding region of the genome (n=54) or at
333 least the N and X/P genes (n=82) were successfully generated from 136 individuals, including 102
334 domestic mammals, 25 humans, all seven shrews and both laboratory strains (Table 1). Sequencing
335 attempts failed or yielded only short sequence fragments for 18 additional domestic mammals and

336 three human cases, mainly due to low viral loads and/or insufficient RNA quality in FFPE and CSF
337 samples.

338 Including additional BoDV-1 sequences from public databases, two ML trees were constructed for 90
339 complete coding BoDV-1 genomes (Figure 2) or 246 N-X/P sequences (Figure 3A, Extended Data Figure
340 5). Both trees supported the previously published clusters 2 to 4 and subclusters 1A and 1B with high
341 statistical support (SH-aLRT $\geq 80\%$ and ultrafast bootstrap $\geq 95\%$). The sequences from two human cases
342 analysed in this study did not fall into any of the previously described clusters, but formed a separate
343 cluster 5 basal to them (Figures 2 and 3A; Extended Data Figure 5).

344 To provide a more objectifiable basis for BoDV-1 cluster designation, we performed further analyses
345 based on pairwise nt sequence identities of complete coding genomes (Extended Data Figure 6).
346 Sequences belonging to the same cluster shared at least 96.0% pairwise nt identity, whereas
347 sequences of different clusters were only up to 95.7% identical. Cluster 1 sequences shared 96.0 to
348 96.4% nt identity between subclusters 1A and 1B and at least 96.9% within each of the two subclusters,
349 thus providing objectifiable demarcation criteria for cluster and subcluster assignment of complete
350 coding genome sequences (Extended Data Figure 6). In agreement with these values, the two
351 sequences of the novel cluster 5 possessed 99.0% nt identity with each other, but only 93.8 to 95.1%
352 nt identity with any other BoDV-1 sequence, supporting their affiliation to a separate cluster.

353 **Temporal and spatial relationships and host-association within BoDV-1 clusters**

354 In agreement with the well-known genetic stability of orthobornaviruses [37](#), [39](#), [40](#), we observed pairs of
355 nearly identical BoDV-1 sequences that were detected many years or even several decades apart from
356 each other (Figure 2; Extended Data Figure 5). This observation was further confirmed by linear
357 regression analysis of the ML tree root-to-tip divergence of BoDV-1 sequences over the past 43 years,
358 which demonstrated the year of sampling to have no significant effect on the genetic divergence of
359 the phylogenetic clusters and subclusters ($R^2 = 0.0057$ to 0.0570 ; $P > 0.05$; Extended Data Figure 7).

360 Furthermore, the phylogenetic analysis did not reveal host-specific clades. With the exception of the
361 novel cluster 5, all clusters and subclusters were composed of closely related sequences derived from

362 shrews and domestic mammals. Human sequences were identified in all clusters and subclusters
363 except for subcluster 1B (Figures 2; Extended Data Figure 5).

364 The overall IBD analysis of 238 BoDV-1 sequences with available location suggested a significant
365 positive correlation between geographic and genetic distance (Mantel test $p < 0.0001$). This positive
366 correlation was also found for the individual BoDV-1 clusters ($p \leq 0.0028$; Extended Data Figure 8A).

367 **Detailed spatial analysis of BoDV-1 phylogenetic clusters and subclusters**

368 For a detailed analysis of the spatial distribution of BoDV-1, subtrees of individual clusters or
369 subclusters were extracted from the BoDV-1 N-X/P ML tree (Figure 3A; Extended Data Figure 5) and all
370 cases with available location were mapped geographically (Figure 3B to 3G). To aid visualization of
371 relationships between phylogenetic analysis and geographic mapping, we indicated monophyletic
372 subclades showing associations to particular geographic regions (Figure 3; Extended Data Figure 5).

373 The spatial distribution of subcluster 1A covers parts of southeastern BY as well as an area from
374 southwestern BY to eastern Baden-Wuerttemberg (BW; Figure 3B; Extended Data Figure 5A). This
375 bipartite distribution is also reflected by the phylogenetic pattern. The sequences from southeastern
376 BY are located on a common branch that is subdivided into three phylogenetically and spatially
377 distinguishable subclades (1A.SE-1, -2 and -3) covering distinct regions in southeastern BY with only
378 minor overlaps among each other. The sequences from southwestern BY form a separate subclade
379 (1A.SW). A further subclade is constituted by four sequences from BW (1A.BW-1), while the
380 phylogenetic position of the fifth sequence from BW (1A.BW-2) is separated from all other sequences
381 (Figure 3A; Extended Data Figure 5A).

382 Subcluster 1B is restricted to a rather confined region in the Alpine Rhine valley in the Swiss cantons
383 Grisons (GR) and St. Gall (SG), with a few cases detected across the border into Liechtenstein (Figure
384 3C). The subcluster can be subdivided into a southern and a northern subclade (1B.S and 1B.N,
385 respectively; Figure 3C; Extended Data Figure 5B). In this study, we were able to generate only one
386 new sequence of subcluster 1B, which originated from the canton Thurgau (TG), a neighbouring canton
387 of SG that has not been described as endemic for BoDV-1 so far. In agreement with its separate

388 location, the phylogenetic position of this sequence is basal to subclades 1B.S and 1B.N (Figure 3C;
389 Extended Data Figure 5B).

390 Cluster 2 occupies major parts of central BY, mainly covering the region between the two parts of the
391 dispersal area of subcluster 1A (Figures 1B and 3D; Extended Data Figure 5C). The cluster can be
392 subdivided into three monophyletic subclades in the southwestern part of this area (2.SW-1, -2 and -
393 3) and one that is mainly found more to the northeast in central Bavaria (2.MID) with only a few
394 overlapping cases (Figure 3D; Extended Data Figure 5C).

395 Cluster 3 is situated mainly in eastern ST and in the South of SN, with single outliers in other federal
396 states (Figure 3E; Extended Data Figure 5D). We assigned two phylogenetically supported subclades
397 with, however, strongly overlapping dispersal areas (3.GG and 3.RO, named after the locations of the
398 majority of their mainly shrew-derived sequences, Güterglück and Rosslau, respectively). The
399 remaining sequences could not be assigned to prominent phylogenetic subclades (Figure 3E, Extended
400 Data Figure 5D).

401 Cluster 4 is the most widely distributed cluster with a scattered geographic range covering parts of
402 Upper Austria (UA), northern and southeastern BY, ST and BB. Individual additional cases were located
403 in Schleswig-Holstein (SH), NI, Thuringia (TH), Hesse (HE) and BW (Figure 3F; Extended Data Figure 5E).
404 In agreement with this geographic pattern, sequences of cluster 4 form several subclades with
405 apparent association with distinct regions in UA (4.UA), southeastern and northern BY (4.BY-SE, 4.BY-
406 N-1 and -2) and BB (4.BB). No clear subclades could be defined for a large group of genetically rather
407 diverse sequences from northern parts of Germany (Figure 3E; Extended Data Figure 5E).

408 Both sequences of the newly identified cluster 5 originated from two neighbouring districts in a region
409 in southern BY where usually sequences of cluster 2, subclades 2.SW-1 and -2 were found (Figures 1B,
410 3D and 3G; Extended Data Figure 5F).

411 **Definition and further analysis of phylogeographic outliers**

412 While phylogenetic grouping and geographic mapping were in good agreement with each other for
413 the majority of BoDV-1 sequences, singular sequences appeared to be located distant from their

414 phylogenetic relatives (Figure 3). We sought for objectifiable and reproducible criteria to define such
415 sequences as phylogeographic outliers. Pairwise nt comparisons of all N-X/P sequences with available
416 location (n=238) showed that all sequences possessed one or more relatives with at least 98.6% nt
417 sequence identity, with only one exception (Extended Data Figure 9A). The minimum distances of each
418 case to all sequences with at least 98.6% nt sequence identity ranged from 0 to 366 km, with the 90th
419 percentile at 37.9 km (Extended Data Figure 9B). Based on these observations, all cases without a
420 sequence of at least 98.6% nt identity within a maximum distance of 37.9 km were marked as outliers,
421 corresponding to the approximately 10% sequences with the highest minimal spatial distance to any
422 close relative. These criteria were met by 24 of the 238 N-X/P sequences (10.1%; Figure 3; Extended
423 Data Figure 5; Extended Data Table 3). Removal of outlier sequences from the IBD analysis increased
424 the correlation coefficient (r), which measures the strength and direction of the correlation between
425 genetic and geographic distance, for all clusters with the exception of cluster 1B. This effect was most
426 prominent for cluster 2 (Extended Data Figure 8B).

427 For four of these outliers (outliers A, B, K and L), the available records revealed potential
428 epidemiological links to areas where the respective BoDV-1 variants were considered to be endemic
429 (Extended Data Table 3). A horse (outlier A; accession OR468845) had developed Borna disease in 2019
430 in North Rhine-Westphalia (NW), which is not known to be endemic for BoDV-1. The animal had been
431 purchased from an endemic region in southwestern BY approximately two months before its death. In
432 line with this information, the BoDV-1 N-X/P sequence from this horse belonged to subclade 1A.SW
433 and it was identical to a sequence from a sheep from south-western BY in 2009 (OR468934; Figure 3B;
434 Extended Data Figure 5A; Extended Data Table 3). An alpaca stallion from northern BY in 2022 (outlier
435 B; OR468886) had been bought eight months before death from a region in southeastern BY, which is
436 consistent with its BoDV-1 sequence belonging to subclade 1A.SE-2 (Figure 3B; Extended Data Figure
437 5A; Extended Data Table 3). The animal showed ataxia already on arrival at the new herd, which was
438 assumed to be of orthopaedic cause. An additional alpaca stallion (outlier K; GQ861449) had developed
439 disease in 2008 after having been transported from southwestern BY to the north of HE [21](#). The BoDV-
440 1 sequence from this case belonged to subclade 2.SW-1, which is in congruence with an infection

441 source in south-western BY (Figure 3C; Extended Data Figure 5B; Extended Data Table 3). Outlier L
442 (OR468852), a horse from northern BY in 2021, had been bought from a horse trader in BW two weeks
443 before death. The horse was reported to have been bought by the trader several weeks before from a
444 not further specified location in BY. The BoDV-1 sequence of subclade 2.SW-1 suggests an infection
445 source in south-western BY (Figure 3C; Extended Data Figure 5B; Extended Data Table 3).

446 In contrast, no epidemiological link to a potentially aberrant location of infection could be identified
447 for the majority of the 24 identified outliers. In some cases, the available information suggested that
448 the animal had never been in a region considered endemic for the respective BoDV-1 variant (outliers
449 J, P, U), but in most cases the available information was insufficient. In some cases, the accuracy of the
450 location data was low, e.g. representing the owner's address, which may be distant from the actual
451 husbandry (Extended Data Table 3). The human case Z19_0093 from western BY in 2016 (outlier F;
452 OR468948) was classified as an outlier, since its sequence possessed only up to 98.1% nt identity to
453 any other BoDV-1 sequence.

454 Two further RT-qPCR-confirmed BoDV-1 infections in horses were detected far from any known
455 BoDV-1-endemic region. These cases had occurred in western Switzerland (canton Geneva [GE]) in
456 1988 and in eastern BB in 2006 (Figure 1B). However, sequencing attempts had failed due to poor RNA
457 quality. Epidemiological data were not available for these cases.

458 **Phylogeographic relationship of human- and animal-derived BoDV-1 sequences.**

459 Of the 43 non-transplant-derived human BoDV-1 infections confirmed to date, 40 were diagnosed in
460 BY, two in BB and one in TH (Figures 1B and 3). The vast majority of their BoDV-1 sequences matched
461 the phylogenetic subclades found in the respective patient's region of residence (Figure 3). Typically,
462 sequences originating from animals or humans in close geographic proximity were representing the
463 genetically closest relatives of human-derived sequences. For 90% of human-derived N-X/P sequences
464 with available location (n=39), the distance to their phylogenetically closest relatives was less than 40
465 km, with a median distance of 15.6 km (Figure 4). In several cases, almost completely identical animal-
466 derived sequences were found within less than 10 km distance to the residency of the human patient.

467 For instance, the human BoDV-1 sequence Z21_0129 (OR468964; subclade 1A.SW) shared 99.9% nt
468 sequence identity with the horse-derived sequence OR468847 (NRL.20_085) that originated from the
469 same district in south-eastern BY (Extended Data Figure 5A). Similarly, human sequence Z19_0107
470 (MT515369; cluster 3) [12](#) was 99.9% identical to that of an alpaca (NRL.22_102; OR468885) from the
471 same district in BB (Extended Data Figure 5C). The BoDV-1 N-X/P sequences from patients Z19_0100
472 (MT364324) [11](#), [15](#) and Z20_0121 (OR468959) were completely identical among each other (99.9% at
473 complete genome level) and 99.9% identical to the sequence of sheep NRL.21_092.12 (OR468866)
474 from the same district in southeastern BY (Extended Data Figure 5A).

475 Besides outlier F mentioned above, only one additional human sequence was classified as an outlier.
476 The BoDV-1 sequence of patient Z19_0086 (OR468945; outlier N; Extended Data Table 3) from
477 southwestern BY belonged to cluster 3 and was, thus, genetically clearly different from all other
478 sequences originating from this area. Its sequence was almost identical (99.9%) to the sequence of the
479 vaccine strain 'DessauVac' (Extended Data Figure 5C). The precise origin of this historic vaccine strain
480 is unknown, but it is believed to have been isolated from a horse from ST or western SN around 1949
481 [29](#), [37](#). The closest relative of sequence Z19_0086 with available location originated from an alpaca in SN
482 (MT366065) from about 370 km from the patient's residency (Extended Data Table 3; Figure 4).
483 Information on possible epidemiological links to ST or SN was not available. Likewise, no information
484 was available on potential contacts of patient Z19_0086 to the vaccine strain DessauVac, which had
485 been used until 1992 in eastern parts of Germany (former German Democratic Republic), but never in
486 Bavaria. The sequence of case Z21_0139 from TH, 2021 (OK142783; marked as potential outlier Y) [13](#),
487 showed likewise a high spatial distance to its most closely related BoDV-1 sequences. Its sequence
488 belonged to subclade 4.BB and possessed up to 99.9% nt sequence identity to animal sequences from
489 BB that are located more than 200 km from the patient's home (Figure 4). However, since less closely
490 related additional cluster 4 sequences (99.3% nt sequence identity) were found at a distance of roughly
491 25 km, the case did not match our criteria for a phylogeographic outlier.

492 Interestingly, both patients infected with BoDV-1 of cluster 5 had died after developing disease in 2002
493 and both lived in neighbouring districts in the vicinity of Munich (BY; Figure 3G; Extended Data Figure
494 5F). Cluster 5 has not been detected in shrews or domestic mammals so far.

495 **Determination of BoDV-1 endemic areas**

496 To visualize the endemic areas of each BoDV-1 cluster or subcluster, we employed KDE (Figure 1C). For
497 this approach, all phylogeographic outliers defined above (Extended Data Table 3) were excluded from
498 the dataset. The resulting KDE illustrated that the distribution of each BoDV-1 cluster or subcluster
499 covered largely separated areas with little overlap (Figure 1C). In a second approach, we repeated the
500 KDE using the combined dataset of all BoDV-1 clusters. This combined KDE revealed a tripartite
501 endemic area (Figure 1D). The northernmost part ranges from northwestern BB to southern ST,
502 possibly also including northern TH. The largest part covers most of BY and extends into BW and UA.
503 The southernmost endemic area is found in the Alpine Rhine valley (Figure 1D). In addition, individual
504 sequences not classified as outliers are found in NI south of Hamburg and in the Ore Mountains in SN,
505 close to the Czech border (Figure 1D).

506

507 **Discussion**

508 The aim of this study was to assemble the most comprehensive data on the molecular epidemiology
509 and phylogeography of BoDV-1 to allow for the identification of risk areas for the occurrence of spill-
510 over transmission to domestic mammals and humans. Detection of BoDV-1 in shrews as the only
511 known reservoir hosts would provide the most accurate information on its endemic presence.
512 However, representative samples from the shrew host are not easily accessible. To date, these data
513 are highly fragmented and biased due to active sampling at only a few locations [4](#), [24](#), [30](#), [31](#), [32](#), [33](#), [41](#). We
514 therefore adopted a passive surveillance approach, utilizing Borna disease in domestic mammals as an
515 indicator of endemic BoDV-1 infection in local shrew populations. This approach may provide a
516 potentially less biased fundament for phylogeographic analyses, although some variability of the
517 dissemination of susceptible domestic animal populations and of veterinary vigilance within and
518 outside of known endemic areas cannot be excluded. Reliable information on the location of infected
519 individuals, not only during onset of disease but even more importantly, at the potential time point of
520 infection, is crucial for this study. However, due to the long and possibly highly variable incubation
521 period, such information is not always available, particularly for cases from earlier decades. Despite
522 our extensive efforts to fill these data gaps, there are still varying degrees of uncertainty that must be
523 considered when interpreting the results of this study.

524 Our analyses stably supported the previously introduced phylogenetic clusters and subclusters [37](#), [38](#), [39](#),
525 for which we established objectifiable demarcation criteria, based on pairwise nt sequence identities
526 of complete coding BoDV-1 genomes. The identification of a novel BoDV-1 cluster 5, represented by
527 two human sequences from BY, indicates that the genetic variability of BoDV-1 may be higher than
528 currently appreciated and that additional variants may exist within or outside the known endemic
529 areas.

530 As demonstrated previously, we found the BoDV-1 clusters and subclusters to be genetically
531 remarkably stable over time and to be associated with spatial distribution rather than time of detection
532 or host species [7](#), [24](#), [37](#), [39](#). In our study, we were able to markedly increase the extent, reliability and

533 resolution of the phylogeographic data, showing that BoDV-1 sequences of particular subclades are
534 occupying circumscribed areas within the endemic regions. This geographically bound epidemiology
535 further emphasizes that BoDV-1 is tied to a reservoir with a strictly territorial behaviour and only very
536 little mobility [37,39](#). Bicolored white-toothed shrews are known to occupy territories of 40 to 120 metres
537 in diameter. They typically do not move more than 800 m to 1 km from their territory, with a
538 documented maximum of 2.5 km, thus allowing for only limited virus spread [35](#). This spatial limitation
539 also underlines the fact that spill-over hosts, such as domestic mammals and humans, which tend to
540 be more mobile, serve as dead-end hosts for the virus. Otherwise, their contribution to virus spread
541 would have resulted in a much wider distribution of confirmed cases with considerable spatial overlap
542 of genetic variants. Thus, our results very clearly support previous work [34, 38, 39, 68](#) and refute former
543 hypotheses of a possible worldwide spread of BoDV-1 among humans and non-reservoir animals [69, 70](#).

544 To indicate the regions in which BoDV-1 variants of particular clusters or subclusters are endemic, we
545 defined criteria for those sequences that indicate the endemic presence of the virus with reasonable
546 reliability. Due to the limitations described above, a single BoDV-1 detection in a domestic mammal or
547 human spatially separated from its closest viral relative cannot be considered as an indicator of
548 endemicity. It rather needs to be supported by additional genetically related sequences from the same
549 region. We have tentatively defined the criteria for considering sequences as indicators of endemicity
550 to be at least two sequences with at least 98.6% nt sequence identity within a 37.9 km diameter.
551 Applying these criteria, the approximately 10% of the sequences with the highest spatial distance from
552 their closest relatives are regarded as phylogeographic outliers and excluded from further analysis.
553 However, these criteria may be subject to further refinement as more extensive data will become
554 available in future studies. Visualization of the distribution of the included sequences confirmed and
555 refined the previously assumed pattern of endemic areas [34](#), but also extended it in certain regions,
556 such as northern BY and eastern BW.

557 Geographic outliers do occasionally occur distant from the indicated distribution areas of their
558 phylogenetic clades or even completely outside the defined endemic area of BoDV-1. Due to the

559 limitations of the available metadata, it cannot be excluded that at least some of these cases may be
560 the result of inaccurate locations. Others may be the result of travel within the incubation period, as
561 described previously [21](#), [22](#). During the course of this study, at least three additional cases were
562 identified in which animals were likely to have been moved to new locations during the incubation
563 period, with BoDV-1 sequences suggesting sources of infection at the respective sites of origin. In one
564 of these cases, the alpaca had been moved no less than eight months prior to death. However, the
565 incubation period may have been shorter, as the animal was exhibiting a potentially BoDV-1-associated
566 ataxia already at the time of transfer.

567 Such clear epidemiological links could not be established for the majority of phylogeographic outliers
568 identified in this study. While no further information was available for most of these cases, some
569 animals were reported to have never travelled to known endemic areas, or even to have remained in
570 their holding of birth throughout their lives, thus suggesting the existence of so far unidentified
571 infection sources in these regions. Whether or not BoDV-1 can be transmitted over long distances by
572 passive vectors, such as import of contaminated feed, remains elusive. So far, no such cases have been
573 documented and data on the tenacity of the virus is sparse. Thus, at least some of the latter cases may
574 actually indicate the endemic presence of the virus in local shrew populations, requiring confirmation
575 by detection of additional genetically related viruses from their region. For instance, the phylogenetic
576 analyses of the previously published human case Z19_0107 from BB had grouped the virus to cluster
577 3 that had not been detected in this region before [12](#), suggesting a possibly aberrant infection source.
578 The recent detection of a highly similar BoDV-1 sequence from an alpaca from the same district in BB
579 now rather suggests endemicity of this BoDV-1 variant in this region and a peridomestic infection of
580 both individuals, which is also in accordance with the patient's epidemiological history during the last
581 years before onset of the disease [12](#).

582 Our study increases the number of published laboratory-confirmed human BoDV-1-infections to 46
583 and provides a first comprehensive summary of metadata for all published cases [6](#), [7](#), [8](#), [9](#), [10](#), [11](#), [12](#), [13](#), [14](#), [15](#),
584 [16](#), [17](#), [18](#). The cases covered all age groups and encephalitis was diagnosed for 45 of the 46 patients, of

585 whom 44 had died as a result of the disease, resulting in a known case-fatality rate of 97.8%. As of
586 now, the accuracy of these numbers is difficult to assess. Many cases in this study were diagnosed only
587 by retrospective analysis of encephalitis cases and it is likely that particularly in the past a considerable
588 proportion of fatal BoDV-1 infections remained undetected ⁷. This proportion may have been
589 comparably higher for possible non-fatal infections due to a lower alertness of physicians to such cases
590 and to the limited availability of diagnostic material for direct *intra vitam* detection of BoDV-1 , which
591 is hampered by the remarkable restriction of BoDV-1 to the central nervous system in erroneous spill-
592 over hosts ^{1, 7, 9, 20, 24, 34, 71}. However, the estimated prevalence of bornavirus-reactive antibodies did not
593 exceed 0.24% in recent serological surveys of healthy individuals or neuropsychiatric patients in known
594 endemic areas ^{65, 67, 72}. Analysis of brain tissue from encephalitis cases of unknown origin failed to
595 detect BoDV-1 in biopsy samples from 15 non-fatal cases, while BoDV-1 was detected in seven out of
596 nine (78%) fatal cases from the same cohort ⁷. Likewise, nation-wide screenings identified bornavirus-
597 reactive antibodies in serological samples and/or BoDV-1 RNA in CSF only in patients suffering from
598 severe or fatal encephalitis ^{11, 65}. These findings support the assumption that non-fatal or even
599 asymptomatic human BoDV-1 infections are indeed at least very rare.

600 The detailed phylogeographic network of animal-derived BoDV-1 sequences assembled in this study
601 also allowed for a first phylogenetic assessment of potential geographic sources of human BoDV-1
602 infections. With the exception of three organ transplant-derived infections ⁸⁸, all known infections are
603 assumed to have resulted from individual zoonotic spill-over events from the virus reservoir ^{6, 7, 9, 10, 11,}
604 ¹², even though concrete transmission events could not be identified in a retrospective epidemiological
605 analysis of 20 cases ¹⁷. Almost all human BoDV-1 sequences clustered in accordance with the location
606 of the patient's residence. The median estimated distance to the closest phylogenetic relatives was
607 15.6 km, indicating that infection of most patients occurred close to home, which is in accordance with
608 epidemiological work identifying rural residence on the fringe of the settlement as the major risk factor
609 for BoDV-1 infection ¹⁷. The actual distance to the source of infection is likely to be even lower in many

610 cases, since inaccuracies of location data, as well as unavailability of further sequences representing
611 genetically closer relatives are likely to lead to overestimation rather than underestimation.

612 The exact source and route of transmission remain elusive for almost all BoDV-1 infections in humans
613 and domestic mammals. Due to its almost exclusively neurotropic nature in non-reservoir hosts [7](#), [9](#), [20](#),
614 [24](#), [71](#), transmission chains between spill-over hosts can be virtually excluded, with the exception of a so
615 far singular iatrogenic transmission event by solid organ transplantation [8](#). This leaves infected shrews
616 as the most likely infection source. So far, it remains unknown whether exposure to their excretions is
617 sufficient for transmission or whether direct contact to an infected shrew or its carcass is required. In
618 a previous study, household members of deceased patients could not recollect potential events of
619 BoDV-1 exposure, indicating that these may be rather unremarkable [17](#). Experimentally, BoDV-1
620 infection in animal models may be established via mucosal surfaces, mainly intranasally, or by
621 subcutaneous injection, followed by axonal spread to the brain via nerves and olfactory bulb or spinal
622 cord [73](#), [74](#), [75](#), [76](#), [77](#). Overall, spill-over transmission of BoDV-1 to humans appears to be rather inefficient,
623 as only isolated cases have been reported so far. Associated infections of potentially equally exposed
624 individuals, such as family members or co-workers on agricultural farms, have not been detected, yet.
625 While the disease usually affects only a single animal or a small number of individuals in herds of horses
626 and sheep, higher incidences of BoDV-1 infections have been observed during outbreaks in New World
627 camelid holdings, leading to mortality rates of up to 40% within a few months in affected herds [24](#), [37](#), [39](#),
628 [42](#). Similarly, four out of eight alpacas of a herd from central BY analysed in our study succumbed to
629 confirmed BoDV-1 infection within one year (cases 21_013, 21_149.a, 22_015.a and 22_015.b;
630 Extended Data Figure 5C).

631 Previous studies have hypothesized a higher risk of BoDV-1 transmission to domestic mammals during
632 winter, leading to disease outbreaks and eventually death during spring and early summer. Shrews
633 entering animal stables in search of feed have been suggested to be responsible for this pattern [32](#), [39](#).
634 In congruence with these assumptions, our assembled data suggested a peak of laboratory-confirmed
635 fatal Borna disease in domestic mammals during May and June. In contrast, no such seasonality was

636 observed for the time of death or first known hospitalization of the comparably limited number of
637 human BoDV-1 cases, similar to findings in a previous study of 20 human cases [17](#). However, any
638 conclusions regarding the time of infection are complicated by the unknown incubation period and the
639 variable disease progression. Furthermore, the time from infection to death of human patients is
640 affected by attempted treatments and life-sustaining measures, which further increase the variability.
641 A larger dataset of human BoDV-1 infections may be required to demonstrate whether their temporal
642 distribution actually differs from the occurrence of BoDV-1 infection in domestic mammals.

643 In summary, we performed a highly comprehensive phylogeographic analysis of the occurrence of the
644 zoonotic pathogen BoDV-1 in Central Europe. The improved resolution of the phylogeographic data
645 will provide a basis for assessing potential locations and sources of BoDV-1 infection in animals and
646 humans. The visualization of potential risk areas will allow for the implementation of prophylactic
647 measures – mainly reducing the risk of exposure to the reservoir – in the affected regions. In such
648 areas, BoDV-1 may be responsible for a considerable proportion of cases of severe human encephalitis
649 that may have remained unresolved so far [7](#). Increased awareness among veterinarians and physicians,
650 together with the categorization of BoDV-1 as a notifiable pathogen of humans and animals since 2020
651 [11](#), may lead to more extensive data collection, allowing for further refinement of phylogeographic
652 analyses in the future.

653

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680

681 **Statement of Data Availability**

682 All novel BoDV-1 sequences are available from the INSDC databases under accession numbers
683 OR203629, OR203630, OR468838 to OR468971. Reanalysed previously published isolates (H640 and
684 H3053) are available under accession numbers AY374523.2 and AY374537.2.

685

686 **Ethics Statement**

687 Ethical approval of the analysis of archived human samples was obtained from the local ethical
688 commission of the Faculty for Medicine, University of Regensburg (ref. no. 18-1248-101), the Technical
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692 preparation). Shrew KS20/0026 originated from a project that was commissioned by the Federal
693 Environment Agency as part of the Environmental Research Plan (Research Code 3718 48 4250; animal
694 ethics permit: 42502-2-1548 UniLeipzig) and was financed with federal funds. All other shrew carcasses
695 included in this study were found dead or preyed by cats. Samples from domestic mammals originated
696 from diagnostic necropsies. No living animals were handled or killed for the purpose of this study.

697

698 **Conflict of interest**

699 The authors declare no conflicts of interest. The funders played no role in the design of the study, in
700 the collection, analysis, or interpretation of the data, in the writing of the manuscript, or in the decision
701 to publish the results.

702

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965 **Table 1. Numbers of cases and sequences included in this study.**

Parameter	Domestic mammals ^a	Humans	Shrews	Laboratory strains ^b	Total
cases analysed in this study	231	29 ^c	7	2	269
fresh or fresh-frozen samples	48	14	7	2	71
FFPE samples	183	15	0	0	198
confirmed by RT-qPCR	207	28	7	n.a. ^d	242
comparative Mix-1/-6 results	204	23	7	n.a.	234
selected for sequencing	120	28	7	2	157
Sanger sequencing	31	5	7	0	43
high throughput sequencing	89	23	0	2	114
bait-based enrichment	14	2	0	0	16
cases with sequences	102	25	7	2	136
complete coding genomes ^e	36	16	0	2	54
at least N-X/P genes ^e	66	9	7	0	82
publicly available sequences					
total cases included	55	16	36	3	110
complete coding genomes ^e	9	15	10	2	36
at least N-X/P genes ^e	46	1	26	1	74
total cases included	286	47 ^f	43	5	381
confirmed BoDV-1 infections ^g	262	46 ^f	43	5	356
cases with sequences	157	41	43	5	246
coding-complete genomes	45	31	10	4	90
at least N-X/P genes	112	10	33	1	156
total cases with available location	278	43	42	2	365
confirmed with available location ^g	254	42	42	2	340
confirmed with available year ^g	262	46	n.a.	3	309
confirmed with available month ^f	257	45	n.a.	0	302
sequences with available location	155	39	42	2	238

966 ^a Domestic mammals also include two cases of non-domesticated zoo animals (pygmy
967 hippopotamus).

968 ^b BoDV-1 isolates He/80, strain V, H24, H215 and DessauVac, which all originate from domestic
969 mammals and have passaging histories in cell culture and/or experimental animals extending
970 beyond the initial isolation in cell culture, were classified as laboratory strains. If more than one
971 sequence per isolate was available, only the original sequence was included. The cell culture-
972 derived materials from cases H640 and H3053 are not included in this table since they were used
973 only for re-sequencing and correction of sequence database entries.

974 ^c Human samples analysed in this study originated from unpublished cases as well as from previously
975 published cases without published BoDV-1 sequence [7](#), [9](#), [13](#), [14](#), [16](#), [17](#), [18](#), [65](#), [67](#).

976 ^d n.a. = not analysed

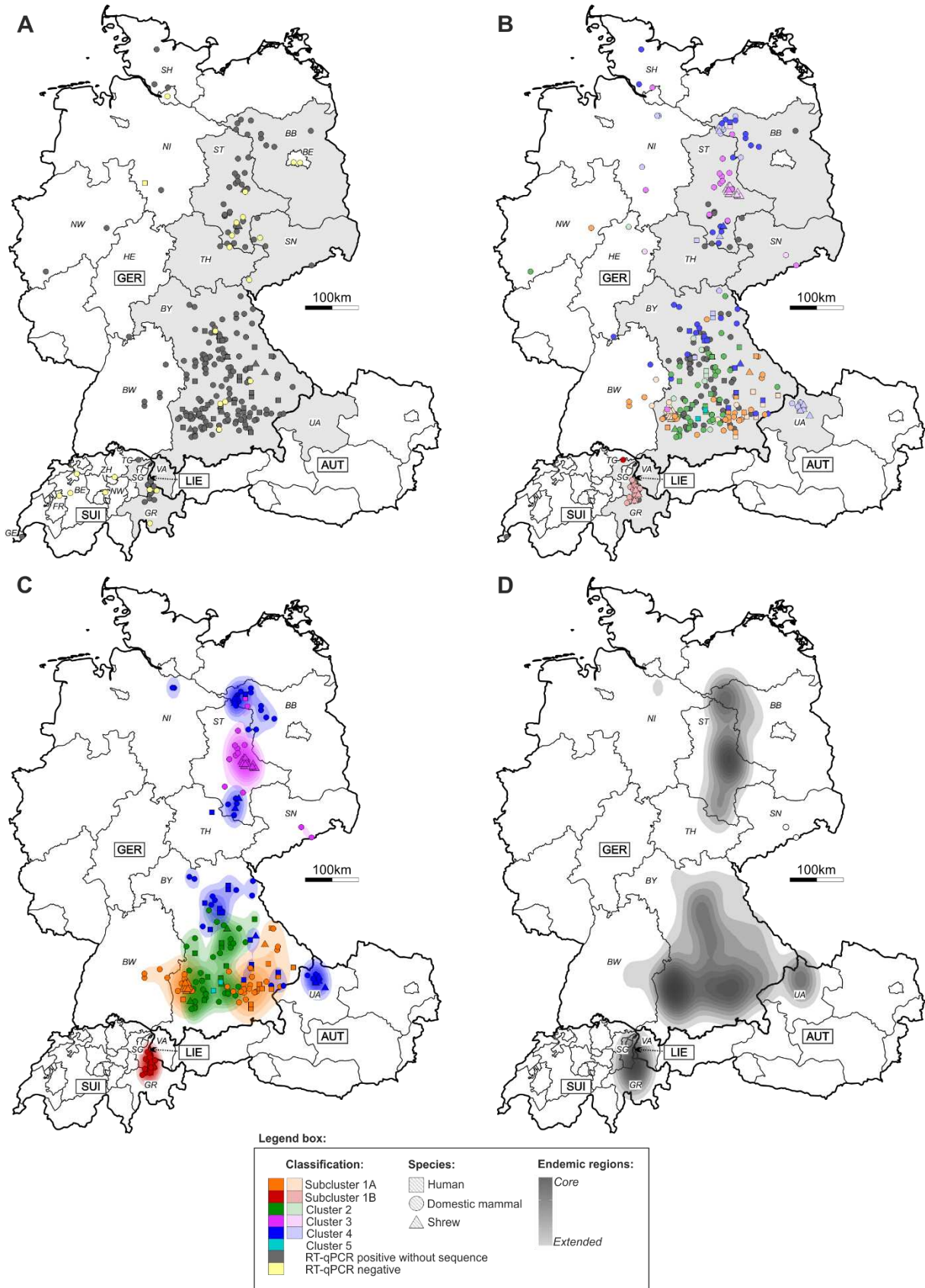
977 ^e Complete coding BoDV-1 genomes: 8,769 nucleotides, ranging from genome position 54 (start of
978 the N gene) to 8,822 (end of the L gene); N-X/P sequences: 1,824 bp, ranging from position 54 to
979 1,877 (end of the P gene).

980 ^f In addition to the 29 human cases analysed during this study and the 16 human cases with publicly
981 available sequences, two further published human cases without available sequence were regarded
982 as confirmed human BoDV-1 infections based on their unequivocal epidemiological link in

983 combination with detectable seroconversion. These two patients are the donor and the surviving
984 liver recipient of the solid organ transplant cluster published by Schlottau et al. [8](#).

985 ^g Cases confirmed by either positive RT-qPCR result or publicly available sequences.

986

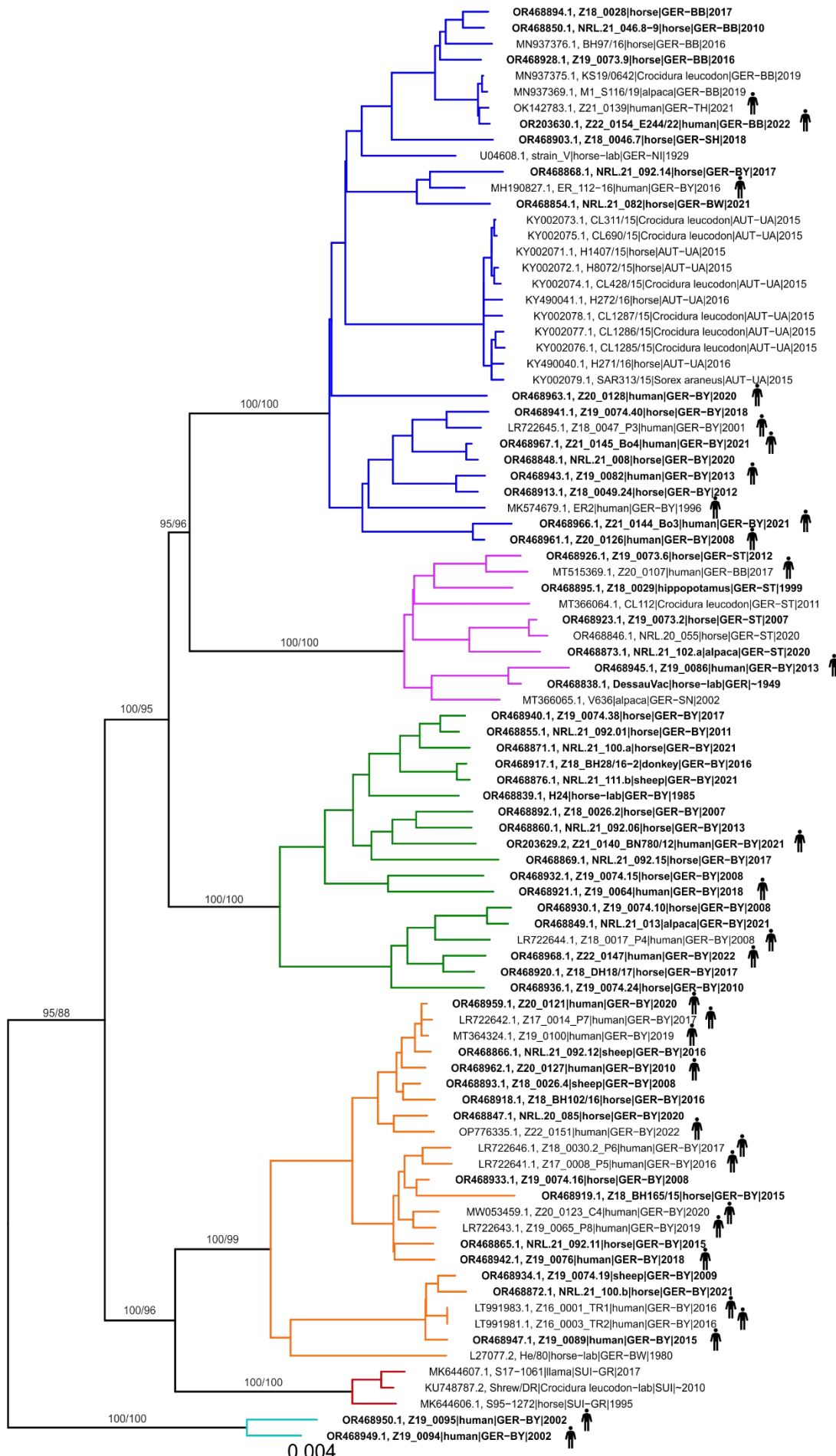


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988

989 **Figure 1. Geographic location of analysed cases and BoDV-1 sequences. A)** Origin of suspected or
990 confirmed cases of Borna disease from domestic mammals and humans and of BoDV-1-infected shrews
991 submitted for analysis. Grey symbols represent cases confirmed by BoDV-1-specific RT-qPCR in this
992 study. Yellow symbols represent cases without a positive RT-qPCR result. The federal states (Germany,
993 Austria) and cantons (Switzerland) coloured in light grey represent the assumed endemic regions based
994 on previously published work [4](#), [7](#), [24](#), [32](#), [37](#), [42](#). **B)** Geographic locations of BoDV-1 sequences originating
995 from this study (dark colours) or previously published cases (light colours). Colours represent
996 phylogenetic BoDV-1 clusters and subclusters as determined in Figures 2 and 3A and Extended Data
997 Figure 5. Grey symbols represent cases confirmed by BoDV-1-specific RT-qPCR in this study without
998 available sequence. **C)** Visualization of endemic regions of BoDV-1 clusters and subclusters by Kernel
999 Density Estimation (KDE). The analysis is based on 214 BoDV-1 sequences with available location.
1000 Sequences classified as phylogenetic outliers (no additional sequence with at least 98.6% nucleotide
1001 sequence identity within a maximal distance of 37.9 km) were excluded from the analysis. **D)** Cluster-
1002 independent BoDV-1 endemic region visualized by KDE. Only sequences meeting the criteria described
1003 for panel C) were included. Germany (GER): BB = Brandenburg, BE = Berlin, BY = Bavaria, BW = Baden-
1004 Wuerttemberg, HE = Hesse, NI = Lower Saxony, NW = North Rhine-Westphalia, SH = Schleswig-
1005 Holstein, SN = Saxony, ST = Saxony-Anhalt, TH = Thuringia; Switzerland (SUI): BE = Bern, FR = Fribourg,
1006 GE = Geneva, GR = Grisons, NW = Nidwalden, SG = St. Gall, TG = Thurgau, ZH = Zurich; Austria (AUT):
1007 UA = Upper Austria, VA = Vorarlberg; Liechtenstein (LIE).

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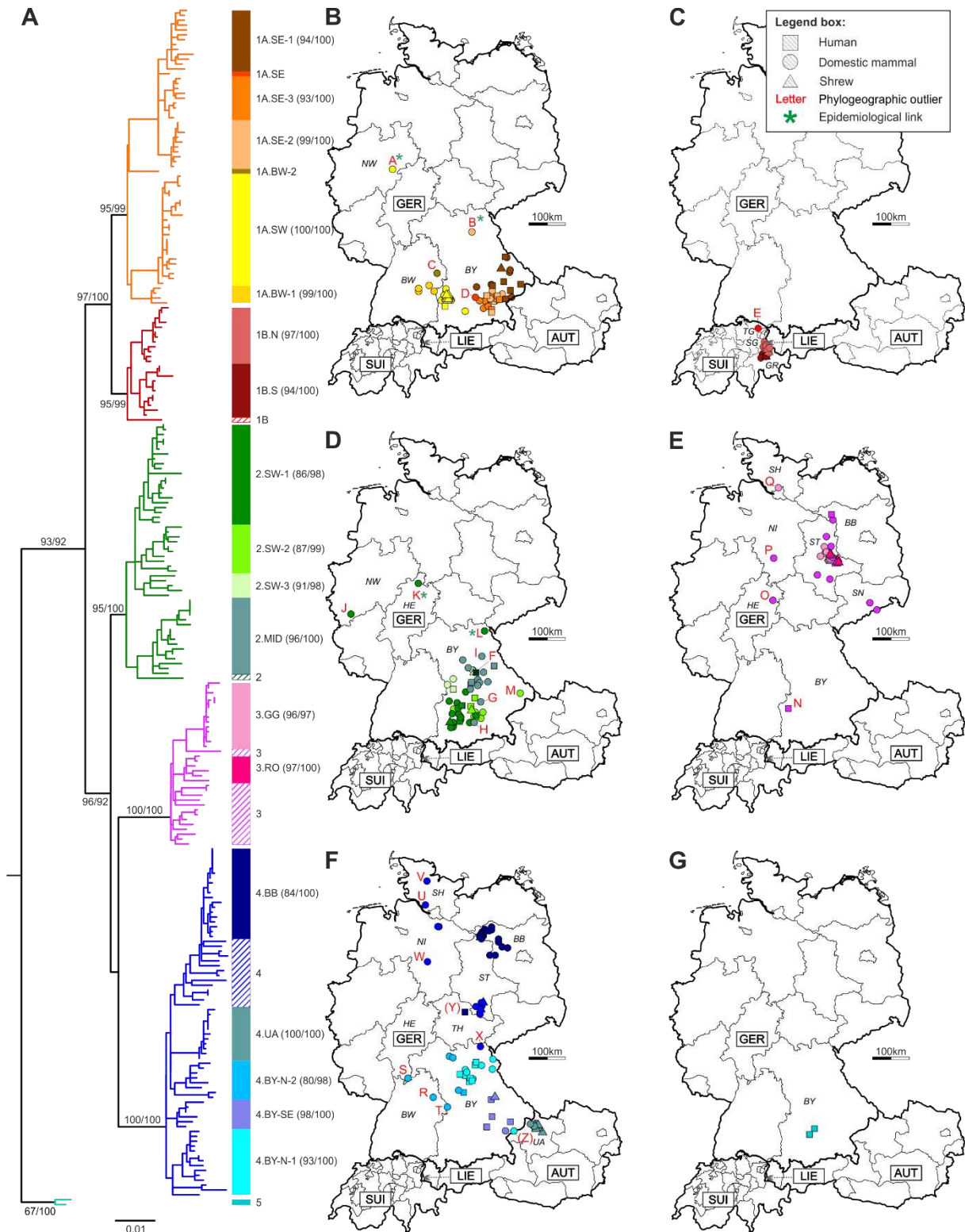
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1A

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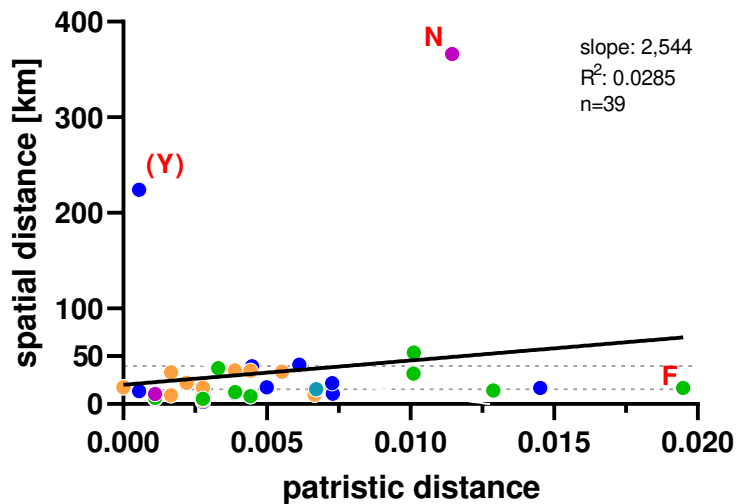
1010 **Figure 2. Phylogenetic analysis of complete coding BoDV-1 genome sequences.** A Maximum
1011 likelihood tree (model SYM+G4) was calculated for all 90 complete coding BoDV-1 sequences (genome
1012 positions 54 to 8,822) of human and animal origin. Sequence BoDV-2 No/98 (AJ311524; not shown)
1013 was used to root the tree. Sequences generated during this study are depicted in bold. Statistical
1014 support is shown for major branches, using the format “SH-aLRT/ultrafast bootstrap”. Clusters 2 to 5
1015 and subclusters 1A and 1B are indicated by coloured branches and bars. Germany (GER): BB =
1016 Brandenburg, BY = Bavaria, BW = Baden-Wuerttemberg, NI = Lower Saxony, SH = Schleswig-Holstein,
1017 SN = Saxony, ST = Saxony-Anhalt; Switzerland (SUI): GR = Grisons; Austria (AUT): UA = Upper Austria.
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Figure 3. Detailed phylogeographic analysis of BoDV-1 clusters and subclusters. A) A Maximum likelihood (model GTR+F+I+G4) tree was calculated for 246 partial BoDV-1 sequences (1,824 nucleotides, nt) of human and animal origin that are covering the complete N, X and P genes (genome positions 54 to 1,877). Sequence BoDV-2 No/98 (AJ311524; not displayed) was used to root the tree. Statistical support is shown for main branches (including clusters, subclusters, and subclades), using the format “SH-aLRT/ultrafast bootstrap”. Clusters 2 to 5 and subclusters 1A and 1B are indicated by coloured branches. Subclades are indicated by coloured bars and corresponding text labels, with statistical support of subclades shown in brackets. **B) to G)** Spatial distribution of subclusters 1A (**B**)

1028 and 1B **(C)** and clusters 2 **(D)**, 3 **(E)**, 4 **(F)** and 5 **(G)**. Colours of the symbols represent the phylogenetic
1029 subclades indicated in panel A). Human sequences are generally mapped no more precise than to the
1030 centre of the district of the patient's residence. Red letters represent phylogeographic outliers (see
1031 Extended Data Table 3). Green asterisks indicate known epidemiologic links into the dispersal area of
1032 the respective subclade. Germany (GER): BB = Brandenburg, BY = Bavaria, BW = Baden-Wuerttemberg,
1033 HE = Hesse, NI = Lower Saxony, NW = North Rhine-Westphalia, SH = Schleswig-Holstein, SN = Saxony,
1034 ST = Saxony-Anhalt, TH = Thuringia; Switzerland (SUI): GR = Grisons, SG = St. Gall, TG = Thurgau; Austria
1035 (AUT): UA = Upper Austria; Liechtenstein (LIE). Subclade designations: GG = Güterglück, MID = Middle,
1036 N = North, S = South, RO = Rosslau, SE = Southeast, SW = Southwest.
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1039 **Figure 4. Geographic distance of human BoDV-1 sequences to their closest phylogenetic relatives.**

1040 The minimal distance to the most closely related BoDV-1 nucleotide (nt) sequence was identified for
 1041 all human BoDV-1 sequences with available geographic information (n=39) based on patristic distances
 1042 calculated from the Maximum likelihood (ML) tree of 246 N-X/P nt sequences (Extended Data Figure
 1043 5). Sequences without available location as well as non-human sequences classified as
 1044 phylogeographic outliers (Extended Data Table 3) were excluded from the analysis. For each human
 1045 sequence, the minimal spatial distance was calculated to all sequences with a patristic distance of up
 1046 to 1.2-fold the patristic distance to the phylogenetically closest relative. Colours of the dots represent
 1047 phylogenetic clusters and subclusters as defined in Figure 2. Red capital letters indicate human cases
 1048 identified as phylogeographic outliers (Extended Data Table 3). Sequence Z21_0139 (Y) is marked as a
 1049 potential outlier due to its close genetic relation to animal sequences in more than 200 km distance.
 1050 Broken horizontal lines represent the 90th percentile (39.8 km) and the median (15.6 km) of the
 1051 dataset. The black line represents the linear regression of genetic and geographic distance. Slope and
 1052 goodness of fit (R^2) of the regression line are provided.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [PhylogeographieBoDV1Supplementalsv7231117final.pdf](#)