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Risk factors and spatiotemporal analysis of classical swine fever in Ecuador

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10 Keywords: Risk Factors, Classical Swine Fever, Spatiotemporal, Risk-based surveillance,

11 Case–Control, Vaccination, Ecuador.

12 **1. Abstract**

5

13 Classical swine fever (CSF) is one of the most important re-emergent swine diseases worldwide. 14 Despite concerted control efforts in the Andean countries, the disease remains endemic in several 15 areas, limiting production and trade opportunities. In this study, we aim to determine herd-level risk 16 factors and spatiotemporal implications associated with CSF. We analysed passive surveillance 17 datasets and vaccination programmes from 2014 to 2020; Then, structured a herd-level case-control study using a multivariable logistic model containing 339 cases, and a spatiotemporal Bayesian 18 19 model, considering 115 thousand premises, 2.3 million annual vaccine doses and a population of 20 1.6 million pigs distributed in 1,006 parishes. Our results showed that the risk factors that in-21 creased the odds of CSF occurrence were swill feeding (OR 9.28), time of notification (OR 2.18), 22 animal entry in the last 30 days (OR 2.08), lack of CSF vaccination (OR 1.88), age of animals 23 between 3-6 months (OR 1.58) and being in the coastal region (OR 1.87). Spatiotemporal models 24 showed that the vaccination campaign reduced the risk by 33% while temperature increased the 25 risk by 17%. The calculated priority index aims to facilitate the intervention process that should be 26 focused on a couple of provinces, mainly in Morona Santiago and Los Rios as well as in specific 27 parishes around the country. Our findings provide insight and understanding of the risk factors as-28 sociated with CSF in Ecuador, which stands for the Andean region; even though the results are 29 specific for the implementation of risk-based surveillance for CSF, data and methods could be valu-30 able for the prevention and control of diseases such as African swine fever, or porcine reproductive 31 and respiratory syndrome. In conclusion, the results highlight the complexity of the CSF control 32 programme, the need to inform decision-makers, involve stakeholders and implement better 33 strategies to update continental health policies to eradicate swine diseases.

34 **2. Introduction**

35 With the growing demand for animal protein in the Andean region, especially pork, diseases such

- 36 as classical swine fever (CSF) are gaining importance as they are limiting local production and po-
- 37 tential export opportunities for affected countries. The per capita consumption of pork has in-
- 38 creased in Ecuador from 7.3 kg in 2010 to 10 kg in 2016 (www.aspe.org.ec). The overall import-
- 39 ance of pig production is related not only to meat consumption but also to cultural traditions. In An-
- 40 dean communities, pigs play a central role as a source of protein, festivities and savings (1).
- 41 Classical swine fever is considered the most relevant re-emerging viral disease of pigs and is
- 42 caused by a virus belonging to the *Pestivirus* genus within the family *Flaviviridae* (2). The only nat-
- 43 ural reservoirs are members of the Suidae family (domestic and wild pigs). Clinical signs are vari-
- 44 able and depend on the viral strain, host immune response, age, general health status and con-
- 45 comitant infections (3).
- 46 The presentations of the disease include acute, chronic and persistent forms according to their dur-
- 47 ation rather than their different acute, chronic and persistent manifestations (4,5). Transmission oc-
- 48 curs mainly by direct contact between infected and susceptible animals via the oronasal route but
- 49 also indirectly through people, clothes, vehicles, equipment, and ingestion of contaminated and un-
- 50 dercooked meat as part of swill feeding (6). Outbreaks of CSF usually have dramatic con-
- 51 sequences when control measures are implemented. These include long quarantine periods,
- 52 movement restrictions, emergency vaccination, culling of the pig population and major impacts on
- 53 animal welfare (7,8). For instance, the 1998 epidemic in the Netherlands, had an estimated cost of
- 54 2.3 billion US dollars and the destruction of 10 million pigs (9). Countries with endemic status are
- 55 banned from exporting pigs and their products, therefore the impact of the disease on the economy
- and public health worldwide is enormous. In Ecuador, the economic impact caused annually by
- 57 CSF was estimated by the NVS to be 6 million US dollars, considering only animal mortality. As
- 58 many of the involved people are from households with low income, the impact of CSF on particular
- 59 poor people becomes evident.
- 60 In South America, the disease is considered endemic in Guyana, Suriname, the North and North-
- 61 east regions of Brazil and the Andean Community, and these regions struggle to implement suc-
- 62 cessful control programs (10,11).
- 63 The national CSF eradication Project in Ecuador was established in 2012 with significant improve-
- 64 ments against CSF by the National Veterinary Service (NVS). The first national vaccination
- 65 strategy was gradually initiated in 2014 with a locally produced lapinised Chinese strain vaccine
- 66 (12). As a result, the highest historical coverage was achieved in 2019 (2.7 million doses) due to a
- 67 compulsory vaccination campaign, government subsidies and coordination with stakeholders (com-
- 68 mercial and industrial producers' associations). However, the field response and data analysis ca-
- 69 pacity of the veterinary service is limited, and in 2020, the disease is still present (https://

- 70 wahis.oie.int). In this regard, the NVS plans to enhance their analysis capacity and apply risk-
- 51 based surveillance. One of the main challenges in applying risk-based surveillance is to find the
- factors associated with the occurrence of a given disease; in the case of CSF, the disease and as-
- 73 sociated risk factors have been well studied for developed countries (13–18). However, in develop-
- ing countries, where due to very particular production systems, the risk factors may be different,
- these are yet to be understood. Recently, for some countries in South America such as Colombia
- 76 (19), Brazil (20), and Peru (21), this issue has been addressed.
- 77 Despite the importance and need for local CSF risk factors, little is still known about them in
- Ecuador, generating a lack of knowledge to inform control measures and public policy. This is the
- 79 first time that official data from the NVS has been analysed to determine risk factors for the period
- 80 from 2014 to 2020.
- 81 The objectives of this study were to determine the risk factors associated with the occurrence of
- 82 CSF and to analyse the spatiotemporal implications in order to identify the regions most at risk.

83 3. Materials and methods

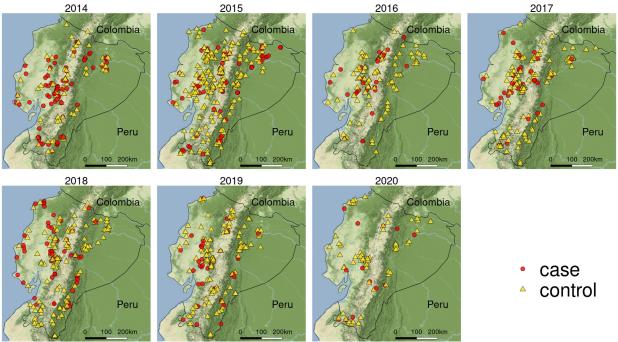
84 **3.1 Datasets**

- 85 Data were collected by the NVS from January 2014 to November 2020 in mainland Ecuador, ex-
- 86 cluding the Galapagos Islands, as it is a recognised CSF free zone (2). The information was stored
- 87 in two databases: (1) Ecuador's animal health information system (SIZSE) created to record paper
- 88 questionnaires for notifiable diseases, suspicious events and laboratory results from passive sur-
- 89 veillance since 2014 (<u>https://sistemas.agrocalidad.gob.ec/sizse/</u>); and (2) the Unified information
- 90 manager (GUIA) developed by the NVS to manage cadastre, mass vaccination campaign against
- 91 CSF, and movements since 2016 (<u>https://guia.agrocalidad.gob.ec/agrodb/ingreso.php</u>). Shapefiles
- 92 of administrative units of Ecuador were downloaded from the Institute of Statistics and Census
- 93 (INEC) (https://www.ecuadorencifras.gob.ec/division-politico-administrativa/).
- 94 All raw data were then imported and processed with R version 4.2.1 (https://CRAN.R-project.org/).

95 **3.2 Factors influencing the risk (variables captured by the surveillance system)**

- 96 The retrospective analysis used the variables collected historically by the surveillance system. The
- 97 databases were merged using the individual identification of the owner of the premises.
- 98 The variables included in the analysis were selected based on published literature, biological
- 99 plausibility and considering their association with the occurrence of classical swine fever.
- 100 (14,22,23). Subsequently, they were organised considering risk characteristics according to the
- 101 RiskSur Surveillance design framework (<u>www.fp7-risksur.eu</u>) (24) and classified into the population
- 102 level, herd level and animal level.

- 103 Using the variables included in the passive surveillance system, a case–control study was struc-
- 104 tured to identify factors associated with CSF occurrence. Herds of pigs with a positive laboratory
- 105 test for CSF were classified as case herds, and those with a negative result were classified as con-
- 106 trols (Figure 1).



107 108 109

Figure 1. Spatial representation of the study area and location of cases and controls of CSF in Ecuador study period 2014–2020.

110 **3.3 Questionnaire**

- 111 The surveillance system used a questionnaire designed to obtain information on veterinary health 112 events, including the demographic data of the premises, geographic location, chronology (dates of
- 113 notification and follow-up), animal species, vaccination declaration, clinical signs, presumptive syn-
- drome, collection of material, characteristics of samples, laboratory test results, animal population,
- animal movement and probable origin of the disease.
- 116 The information was collected by trained NVS veterinarians following the data protection proced-
- 117 ures of Ecuadorian authorities. The information recorded throughout the country was continually
- 118 monitored by the national surveillance team (headquarters), who checked the data for complete-
- 119 ness and errors.
- 120 Laboratory testing was performed at the National Reference Laboratory (headquarters in *Quito*).
- 121 Virus detection was carried out by a commercially available antigen ELISA (PrioCheck® CSFV)
- 122 based on the double antibody sandwich (DAS) principle with a sensitivity of 97% and a specificity
- 123 of 99% (25) and by qRT–PCR using Roche® reagents (26) with a sensitivity and specificity of ≥
- 124 **95%**.
- 125 **3.4 Multivariable logistic analysis**

126 All analyses were performed at the herd level and stratified according to CSF status (case or con-127 trol). Variables were organised by type, continuous variables were transformed into dummies, set-128 ting their levels according to biological or legal cut-off points. Descriptive statistics assessed the 129 distribution of cases and controls. The dependent variable was the binary variable infected with 130 CSF. The evaluation of individual variables of the Ecuadorian surveillance system was based on 131 the association of each explanatory variable with the binary farm-level outcome, using univariate 132 logistic regression (27). We avoided case-control matching due to the potential of creating selec-133 tion bias, losing precision, statistical power and not having a prior local analysis of strong well-134 measured confounding variables (28).

- A multivariate logistic regression model (Eq. 1) was used to assess the association of explanatory
 variables with the outcome formulated as follows:
- 137 $\log(p(X)/(1-p(X))) = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + ... + \beta p X p$ [1]

where X_i : is the jth predictor variable and β_i is the coefficient estimate for the jth predictor variable. 138 139 The final model selection used a manual forward stepwise approach (29). We included each variable in descending order of statistical significance in the univariate models. Statistically significant 140 141 variables (chi-square association test < 0.05) were kept in the final model. For each insertion of 142 new variables, we observed the changes in the odds ratio (OR) and the significance of each beta β_i 143 (Wald test) assessing them at each step. Variables were used only if their completeness was \geq 144 0.85. Confounding was assessed using causal diagrams (30,31). Collinearity was analysed using 145 variance inflation factors analysis (32). The goodness of fit of the final model was measured using 146 the conditional R_2 (33) and the Hosmer–Lemeshow goodness of fit test (GOF) (p > 0.05) (34).

147 **3.5 Spatiotemporal Bayesian analysis**

148 The analysis used the population and cases restricted to 2017-2020 due to the lack of cadastral

- 149 and vaccination information prior to the implementation of the increased official vaccination in
- 150 2017. Data were organised to contain the aggregated annual population over each parish (1040)
- 151 using time-series missing value imputation (35) for areas without information. Variables were
- 152 centred and scaled by dividing the centred value by the standard deviation. The variables used to
- 153 fit the model were the number of CSF vaccine doses applied per km², average temperature (C)
- 154 and average precipitation (mm), constructing several models. Temperature and precipitation were
- 155 extracted from (<u>https://worldclim.com/</u>) at a spatial resolution of 2.5 arc-minutes (~5 Km²).

156 Parish vaccination coverage was adjusted considering the population and the doses applied

- against CSF, considering 1.55 as the average number of doses a pig receives in a calendar year,
- according to the average lifespan from birth to slaughter (234 days) (36). We used penalised prior-
- 159 ity priors model complexity, specified by the divergence between a flexible model and a baseline
- 160 model; To define the spatial random effect, a neighbourhood matrix from the polygon list was

needed, based on regions (parishes), that share two or more boundary points. The spatiotemporal
 model uses the disease count Y_{ij} observed in area i and time period j, modelled as:

163
$$Y_{ii} \sim Po(E_{ii}, \theta_{ii}); i=1, ..., N; j=t1, ..., tN$$
 [2]

where E_{ij} is the expected number of cases and θ_{ij} is the relative risk, both in the given area (*i*) and time period (*t*) (Equation 2). Three sets of components for $\log(\theta_{ij})$ were considered:

$$\log\left(\theta_{ii}\right) = \alpha + u_i + v_i \tag{3}$$

where alpha represents an overall risk in the study region, u_i is the correlated heterogeneity that models the spatial dependence between the relative risks, and v_i is the unstructured exchangeable component that models uncorrelated noise (Equation 3).

170
$$\log(\theta_{ij}) = \alpha_0 + A_i + B_j + C_{ij} + var \, 1 + var \, 2 + var \dots n$$
 [4]

where A_i represents the spatial group, B_j is the temporal group, and C_{ij} is the space-time interaction group ($A_i = u_i + v_i$) using the most popular model to spatial disease known as Besag-York-Mollié

173 (BYM) (37), where the clustering component u_i is modelled with the conditional autoregressive dis-

174 tribution (CAR) (38), smoothing the data when two areas share a common boundary given by the

175 neighbourhood matrix ($B_j = \beta t_j$). Using an independent and identically distributed Gaussian random 176 effect (iid). ($C_{ij} = \delta_i t_j$), where $u_i + v_i$ is an area random effect, βt_j is a linear trend term in time t_j , and 177 $\delta_i t_j$ is an interaction random effect between area and time (Equation 4) (39).

178 To evaluate the models, we used the deviance information criterion (DIC) and the posterior predict-179 ive p value. To suggest parish in priority of care, we used the *priority index* (PI) which is a risk-

180 based percentage scale that ranks the units of analysis, given by the fitted effects weighted by their

181 probability and a cut-off value (40). The models were implemented using the integrated nested

182 laplace approximation (INLA) (41). We used choropleth maps to represent the spatiotemporal dis-

tribution of the population, observed cases, expected cases, infection risk (relative risk) and priorityindex.

185 All analyses were run in R V4.2.0.

186 **4. Results**

187 **4.1 Descriptive analysis of the variables influencing the risk**

188 The full dataset contained 63 variables, most of which were used for administrative purposes. Fif-

teen variables selected for the univariate analysis consisted of 6 dichotomous, 6 nominal and 3

190 continuous variables. They were then classified into the population level (n=4), herd level (n=9)

191 and animal level (n=2). Notification time was transformed into a dummy variable considering a cut

- 7
- 192 point of 7 days (one week). The age of the animals was considered cut-off points of 2 and 6
- 193 months due to the official CSF vaccination recommendation: first dose applied after 45 days of age
- and revaccination at 180 days of age (Table 1).

Table 1. Description of variables influencing risk and their levels. Data available in Ecuador's CSF surveillance system from 2014 to 2020, levels grouped by risk characterisation.

195

Factors influencing	Description of variables captured by the surveillance	Category
risk	system	
	Active national control program (vaccination and mobilisation	Dielesterrie 1
[†] Control program	control) on the movement of interview.	Dichotomic [¶]
[†] Natural region	Natural region of the premise according to their location and	Amazon, coastal,
Natural region	administrative provincial division.	highlands
[†] Network community	Community to which the premise belongs according to its	5 communities
Network community	parish location (42).	5 communities
[†] Year	Year of the event.	2014–2020
[‡] Animal entry	Reception of pigs within 30 days of onset of clinical signs.	Dichotomic [¶]
⁺ Notification time	Number of days from onset of clinical signs to notification to	0–7,>7
Notification time	the NVS.	0-1,21
[‡] Other species	Existence of species other than swine in the premise.	Dichotomic
‡ Size of the farm	Number of pigs on the premises.	1–25, 26–189, >190,
[‡] Swill feeding	Evidence of feeding pigs with swill feed, home-made	Dichotomic [¶]
Swinteeding	leftovers.	Dichotoffic
[‡] Tuno of form	Classification of promises according to production estagon.	Backyard, Family,
[‡] Type of farm	Classification of premises according to production category.	Commercial,Industrial
[‡] CSF vaccination	Owner's declaration of vaccination against CSF in its	_ · · ·
declaration	premise.	Dichotomic [¶]
[‡] CSF vaccination	Official record of vaccination against CSF within the last 180	-
record	days.	Dichotomic [¶]
[‡] Who makes the		
notification	Person who contacted the NVS to make the notification.	Owner, NVS, Sensor
§ Age	Age in months of sampled animals.	1–2, 3–6, >=7
	Dread of the enimels on the promise	Landrace (white),
[§] Breed	Breed of the animals on the premise.	Indigenous (black).

Levels of risk characterisation: [†] Population level, [‡] Herd level, [§] Animal level. [¶] Dichotomic: 0=No, 1=Yes.

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- 197 The average time for official notification to the NVS was more than one week (9.3 days) for cases
- 198 and one week (7.0 days) for controls. The median farm size was similar for cases (13.5 pigs) and
- 199 controls. The mean age of pigs was similar for cases and controls (~5 months) (Table 2).

Table 2. Descriptive measures of continuous variables from the 2014–2020 CSF risk factor analysis in Ecuador.

	Cas	e herds (n=338)		Control herds (n=916)			
	Mean ± SD	Median(Q2,Q)	Range	Mean ± SD	Median(Q2,Q)	Range	
Notification time	9.29 ± 9.13	7 (3–13)	0–70	7.00 ± 13.37	3 (2–7)	0–201	
Size of the farm	38.54 ±	13 (6–33)	1–	125.4 ±	13 (6–27)	1–	

	101.95		1323	893.54		13,804
Age (months)	5.06 ± 5.54	3 (2–5)	1–48	5.89 ± 7.69	3 (2–5)	1–72

200

201 Farmer vaccination declaration was higher for case herds (71%) than for control herds (6%). Re-

202 cording of vaccination (based on official records) was lower (46%) for cases than for controls

203 (60%). Both cases (96%) and controls (78%) had a high percentage of swill feed use. The entry of

animals within the last 30 days was recorded in 39% of cases and 22% of controls. Only 5% of the cases and 4% of controls have other species on the property (Table 3).

Historical case presentation decreased over the years, with the highest proportion of cases (48%) occurring between 2014 and 2015 and the lowest (14%) occurring between 2019 and 2020. The

208 proportion of cases (43%) and controls (45%) was highest in the highlands. More than half of the

209 case notifications (51%) were reported by the owner, followed by health sensors (42%), which are

volunteers selected by the NVS to enhance the surveillance system directly from the community

across the country. There was a higher proportion of cases (30%) in the third community network,

- located in the centre of the country. The highest proportion of cases was in commercial production
- 213 (31%) (Table 3).

214 **4.2 Description of cases and controls**

215 The surveillance database contained 1,254 questionnaires, 338 of which were confirmed CSF

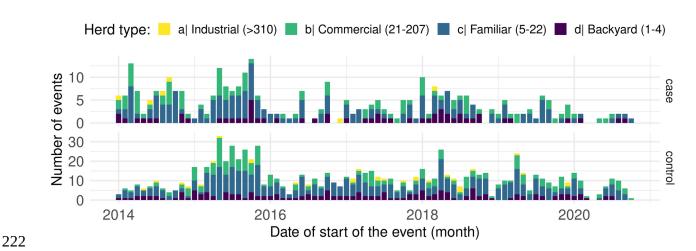
cases. The farm categories were 50.79% family (637), followed by 28.39% commercial (356),

217 17.98% backyard (224) and 0.03% industrial (37); the distribution of cases and controls over time

is illustrated in Figure 2; The highest case presentation corresponded to October 2015 with 14

219 monthly cases, followed by March 2014 with 13 cases, and the lowest corresponded to 2020 with ≤

- 220 2 monthly cases.
- 221



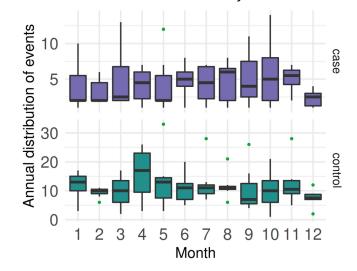
²²³

Figure 2. Distribution of events (cases and controls) reported between 2014 and 2020 in Ecuador; bars represent monthly counts, height and colours of bars according to the type of herd (different scales on the y-axis).

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Distributed by months, the mean number of cases was 4.39 ± 3.09 ; with controls, the mean was 11.31 ± 6.38 There was a tendency to increase twice a year around April and October (Figure 3).

Figure 3. Boxplots of monthly distribution of CSF cases and controls from 2014 to 2020 in Ecuador (different scales on the y-axis).

233 4.3 Multivariable logistic analysis

According to the univariable models, twelve out of 15 assessed variables were associated with CSF (p<0.20). We found a paradoxical fit (Type III error) opposite of the true effect (42), produced by CSF vaccination declaration; giving an incorrect direction of association and increasing the odds when the farmer declares vaccination (38.67 OR), instead of the expected protective effect conferred by the vaccine. The natural region showed a higher risk to the coastal region. The univari-

able analysis is presented in Table 3.

Table 3. Results of univariable logistic regression analyses, to assess associations of CSF during
 2014–2020 in Ecuadorian swine herds. Variables are ordered by their level of significance.

Variable	Category	Tot al	Cases (Percentage)	OR (crude)	CI (95%)	Chi- Square (signif.)
CSF vaccination declaration	No	959	98 (0.1)	1		
	Yes	295	240 (0.81)	38.34	(26.75–54.94)	2.20E-16***
Swill feeding	No	216	13 (0.06)	1		
	Yes	1038	325 (0.31)	7.12	(4.16–13.29)	2.51E-14***
Notification time	7 days	882	191 (0.22)	1		
	>7 days	372	147 (0.4)	2.36	(1.82–3.07)	7.46E-11***
Animal entry (last 30 days)	No	920	207 (0.22)	1		
	Yes	334	131 (0.39)	2.22	(1.7–2.91)	3.65E-09***
CSF vaccination record	Yes	710	157 (0.22)	1		
	No	544	181 (0.33)	1.76	(1.37 – 2.26)	1.01E-05***
Natural Region	Highlands	558	146 (0.26)	1		
	Coastal	311	112 (0.36)	1.59	(1.18-2.14)	**

						1
	Amazon	385	80 (0.21)	0.74	(0.54-1.01)	3.37E-05 .
Year	2019-2020	244	48 (0.2)	1		
	2016-2018	526	129 (0.25)	1.33	(0.90-1.97)	
	2014-2015	484	161 (0.33)	2.03	(1.39-3.01)	0.0001***
Age (months)	1-2	426	93 (0.22)	1	· · · ·	
	3-6	588	186 (0.32)	1.68	(1.25-2.27)	***
	>=7	248	60 (0.24)	1.14	(0.77-1.68)	0.001
Control Program	No	609	190 (0.31)	1	. ,	
	Yes	645	148 (0.23)	0.66	(0.51 – 0.84)	0.0009**
Who does the notification	Owner	736	171 (0.23)	1		
	NVS	86	25 (0.29)	1.35	(0.79 – 2.27)	
	Sensor	432	142 (0.33)	1.62	(1.23–2.12)	0.0015***
Size of the farm	>190	53	8 (0.15)	1		
	1-25	910	232 (0.25)	1.92	(0.88 – 4.8)	
	26-189	293	99 (0.34)	2.86	(1.27-7.31)	0.0028 **
Network Community	1	219	53 (0.24)	1		
	2	157	57 (0.36)	1.78	(1.11–2.87)	*
	3	359	102 (0.28)	1.24	(0.83–1.87)	
	4	184	49 (0.27)	1.14	(0.71–1.83)	
	5	335	77 (0.23)	0.93	(0.62–1.43)	0.027
Breed	Indigenous					
biccu	black	93	20 (0.22)	1		
	Landrace	970	260 (0.27)	1.33	(0.79–2.36)	0.2680 †
Other species in the premise	No	1205	322 (0.27)	1		
	Yes	51	17 (0.33)	1.37	(0.76 – 2.49)	0.2900 †
Type of farm	Industrial	37	6 (0)	1		
	Commercial	356	106 (0.08)	2.19	(0.86–6.6)	
	Family	637	169 (0.13)	1.86	(0.75–5.56)	
+ Indicatos an association n >	Backyard	224	57 (0.05)	1.76	(0.68–5.53)	0.2699 †

 \dagger Indicates an association p > 0.20, these variables were excluded in the multivariable models. Signif.: *** p<0.001, ** p<0.01, * p<0.05, . P<0.1. CI: Confidence interval.

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During the stepwise forward selection of variables we evaluated 8 models; thus, variables that were not statistically significant (p>0.05), or had an incorrect direction of association (self-declaration of vaccination) were excluded. Variables that showed a strong association (p<0.0001) in the univariable model, maintained their individual and model significance when adjusted in the final multivariable model (Table 4).

Table 4. Multivariable logistic regression model assessing the associations of variables with the odds of CSF between 2014 and 2020 in Ecuador.

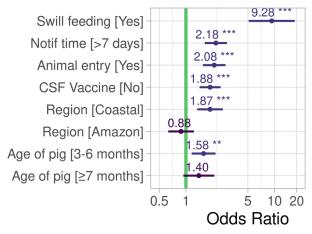
Variable	Category	Estimate	SE	OR (95% CI)	Signif.
Intercept		-4.175	0.356		
[‡] Swill feeding	No	-	-	1	
Swill leeding	Yes	2.228	0.305	9.28 (5.30–17.68)	***
[‡] Notification time	1–7 days	-	-	1	
[‡] Notification time	>7 days	0.778	0.147	2.18 (1.63–2.90)	***

[‡] Animal entry (last 30 days)	No	-	-	1	
Animai entry (last 50 days)	Yes	0.734	0.149	2.08 (1.55–2.79)	***
[‡] Vaccination record CSF	Yes	-	-	1	
vaccination record CSI	No	0.629	0.139	1.88 (1.43–2.47)	***
	Highlands	-	-	1	
[†] Natural Region	Coastal	0.627	0.169	1.87 (1.34–2.61)	***
	Amazon	-0.127	0.169	0.88 (0.63–1.23)	
[§] Age (months)	1–3	-	-	1	
	3–6	0.460	0.158	1.58 (1.16–2.16)	**
	>= 7	0.340	0.206	1.40 (0.93–2.09)	

Chi-sqrt: 0.0128 *, GOF Hosmer-Lemershow: 0.788, AUC: 0.746, D2: 0.148, R2: 0.143. Significance: *** 0.001, ** 0.01. Levels of risk characterisation: ^{\dagger} Population level, ^{\ddagger} Herd level, ^{\$} Animal level.

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251 Factors that substantially increased the odds of CSF occurrence at the herd level were swill feed-252 ing (OR 9.28), notification time (OR 2.18), entry of animals in the last 30 days (OR 2.08) and lack 253 of CSF vaccination (OR 1.88). At the population level: being in a coastal region (OR 1.87) and at 254 the animal level: age of animals between 3–6 months (OR 1.58) (Table 4, Figure 4). The final lo-255 gistic model presented good fit (GOF=0.79, AUC=0.75). Individual collinearity diagnostics for each 256 variable resulted in individual GVIFs below 1.062. There was no outlier with a significant influence 257 on model fitting, according to the Bonferronni outlier test (p=0.0072); also, there was no correlation 258 between residuals.



259 260

Figure 4. Variables associated with the odds of classical swine fever in Ecuador 2014-2020.

261 **4.4 Spatiotemporal descriptive analysis**

262 The Ecuadorian administrative division has 1,040 parishes; 16 were removed because of being is-

263 lands and 18 were located in the Amazon rainforest with no record of domestic pigs. The length of

the neighbouring areas was 4,024 (1,006 for each year), with 271 (6.49%) imputed gaps. When

comparing the imputed data with the original dataset, they were not significantly different (t-test:

p=0.55). The final neighbour list contained 1,006 parishes with an average of 5.71 parish neigh-

bours. The average parish area was $198.03 \pm 249.48 \text{ km}^2$, with a range from 2.23 to 2,429.64 km².

268 The annual average of registered premises was 115,411.8, housing an average of 1,633,922 pigs.

269 The annual average of CSF vaccine doses between 2017 and 2019 was 2,375,290, expressed as

- vaccine doses per square kilometre from 15.7 in 2017 to 23.0 in 2020; the highest average vaccin-
- ation coverage was 81% in 2019, and the lowest was 60% in 2017. The number of applied doses
- increased from 1.8 millions in 2017 to 2.4 millions in 2020. The annual average of premises
- 273 was 115,411 (Table 5).

Table. 5 Centrality measures of model variables (fixed effects) aggregated by parish distribution in Ecuador.

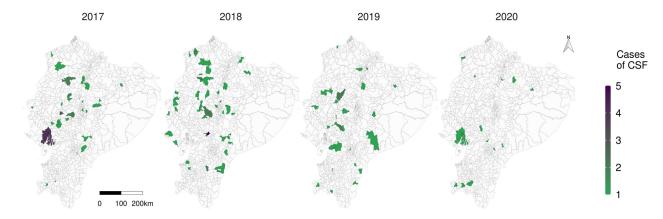
	2	2017	2018		2019		2020	
Variable	Average	Median (max)	Average	Median (max)	Average	Median (max)	Average	Median (max)
Doses CSF/km ²	15.74	2.31 (976.5)	23.78	4.15 (1057.6)	27.63	5.08 (1,282)	23.0	4.2 (1,477.3)
Population of pigs	1,671.3	284 (224,448)	1,948.7	391 (256,107)	2,030.4	447 (254,042)	1,867.1	434.5 (227,186)
Vaccine coverage %	60	64 (129)	71	80 (108)	81	100 (105)	70	73 (103)

274

275 What stands out in figure 5 is the decline of the number of observed cases, corresponding to 39 in

276 2017, 60 in 2018, 33 in 2019 and 13 in 2020; it is possible to observe a significant reduction in the

277 number of cases specially in the highlands over the years.

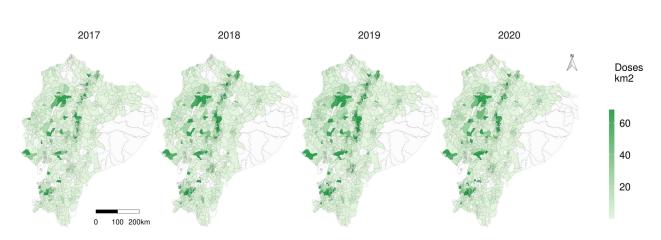


278

Figure 5. Representation of the number of observed CSF cases (number of positive premises) in Ecuador grouped by parish from 2017 to 2020.

- 279 Figure 6 reveals that there was a marked higher density on the number of doses of CSF applied by
- square kilometre in the western centre (Santo Domingo), the north (Carchi), west south (El Oro)
- and the central highlands (Cotopaxi, Chimborazo), this general pattern repeated over the years,
- however there were 105, 78, 52 and 62 parishes without vaccination coverage in 2017, 2018, 2019
- and 2020 (white on Figure 6).

13



284

Figure 6. Classical swine fever vaccination density per square kilometre in Ecuador. Polygons of the parishes on the map. White parish without applied doses.

285 Temperature and precipitation in Ecuador are modulated by the Andes mountains, warmer temper-

atures on the Amazon and Coastal regions and cooler temperatures on the highlands. There is a

range difference of 11 °C in the Coastal, 20.5 °C in the Highlands and 18.9 °C in the Amazon.

288 Precipitation on the Amazon is almost 3 times higher than the highlands and two times compared

with the Coastal (Table 6).

Table 6. Descriptive measures of covariants spatiotemporal model in Ecuador.

	Coastal	Highlands	Amazon
Covariate	Mean ± SD (range)	Mean ± SD (range)	Mean ± SD (range)
Doses vac. Km ²	18.93 ± 69.83 (0–636.9)	19 ± 61.01 (0–976.5)	1.77 ±2.99 (0–15.26)
Temperature	24.36 ± 1.63 (15–26)	14.26 ± 4.43 (4.6–25.1)	20.60 ± 4.19 (6.8–25.7)
Precipitation	1362.24 ± 712.20 (122–3253)	1012.89 ± 434.16 (432–3824)	2718.53 ± 957.47 (722–4482)

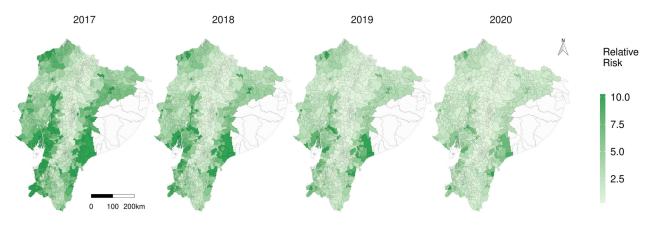
290 **4.5 Spatiotemporal Relative Risk**

The annual average relative risk dropped from 4.01 in 2017 to 1.30 in 2020. Regarding the doses of vaccine applied per km², they behaved as an expected protective factor, which means that an increase in one SD in the doses applied per kilometre decreased the risk by 33%. Temperature was a risk factor, considering that an increase in one SD in temperature degree increased the risk by 16.7%. Precipitation had no effect: RR=1.00 (1.00–1.001) (Table 7), and the spatial distribution of risk is shown in Figure 8.

Table 7. Summary statistics of the effect of covariates on the estimated risk (RR) of CSF in a spatiotemporal Bayesian model.

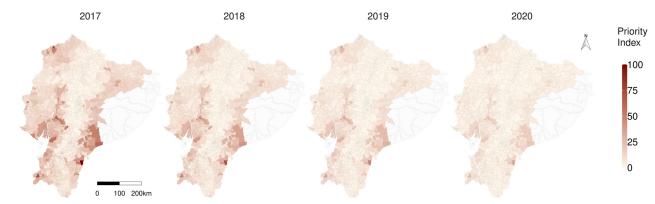
	Univariate			Multivariate			Relative risk	
Covariate	Mean	0.95 % CI)	DIC	Mean	(0.95 % CI)	DIC	RR	(0.95 % CI)
Intercept	-	-	-	-2.26	(-3.30 – -1.31)	1140	-	-
Time (years)	-	-	_	-0.36	(-0.51 – -0.21)	_	0.70	(0.60 – 0.81)
Doses by Km2	-0.309	(-0.68 – 0.02)	1188	-0.41	(-0.77 – -0.09)	_	0.67	(0.46 – 0.91)
Temperature	0.158	(0.11 – 0.21)	1142	0.15	(0.11 – 0.20)	-	1.17	(1.12 – 1.22)
Precipitation	0.001	(0.00 - 0.001)	1156	_	_	-	_	_

- 297 The proportion of variance explained by each component was 57% for the random effect (iid), a
- 298 major contributor to the explained variance, and 43% for the spatiotemporal (besag). The DIC
- mean deviance was 1,140 and the effective number of parameters was 136.7.
- 300 Hot spots of increased risk were spatially identified on the map, in the coastal southwestern and
- also the southeastern Amazon, reducing the risk over the years (Figure 7).



302

303	Figure 7. Spatiotemporal representation of the relative risk (RR) of CSF in Ecuador.
304	According to the priority index (PI), prime concern parishes are located in the eastern Amazon as
305	Tundayme, followed by Tachina in the northwestern and Paletilla in the southern zone (Figure 8).
306	The provinces with higher risk, considering the average RR per province in the year 2020, were
307	Morona Santiago (3.68), Los Rios (3.12) and Santa Elena, (3.07).



308

Figure 8. Spatiotemporal representation of the priority index (PI) to fight classical swine fever in
 Ecuador.

311 **5. Discussion**

- 312 When countries mount resource-intensive control strategies for high-impact disease as CSF but fail
- 313 to reach the goal of control and elimination, a deeper analysis of the disease dynamics and the im-
- 314 plemented control interventions is needed to identify strategic intervention points. Despite the fact
- 315 that in general terms many CSF risk factors are known, their relevance in the specific setting of a
- 316 pig sector and the respective control program is ideally assessed using all available data.

Swill feeding is one of the main risk factors for CSF transmission; it is common and rooted in the cultural tradition of backyard producers (43); therefore, it is very likely to be a key disease driver of

- 319 the disease in endemic areas of Andean countries. The Agricultural Health Law of 2019 (44), es-
- 320 tablished best practices for animal feed, but lacked specific regulations on swill. Consideration
- 321 needs to be given to promoting risk-reducing practices such as heat treatment (45) and stricter reg-
- 322 ulations that prohibit the use of animal protein as a feed source for pigs.

317

- 323 Vaccination misreporting could be related to the producer's lack of knowledge regarding veterinary 324 treatments linked with injections (vaccination, iron supplementation in piglets, deworming or other). 325 Fear associated with owners' legal responsibilities and misunderstandings during the interviews 326 may also lead to misreporting (46). In Indonesia, vaccination against CSF resulted in an increased 327 risk of CSF due to inaccurate vaccination claims (23): considering these facts, reporting behaviour 328 could be further analysed as an early target of the surveillance programme (47); suggesting that 329 communication and health education activities might be advisable to improve producers' under-330 standing of animal disease prevention and control practices.
- 331 Our findings on increased risk at the age of 3-6 months could be related to the fact that young an-332 imals might be more likely to be exposed to CSFV because this is the age at which they are nor-333 mally marketed. The age with increased risk for CSF also reflects a complicated age from the im-334 munological perspective. Maternal immunity fades out after three months (48), and animals not 335 vaccinated become susceptible just as animals vaccinated too early where maternal antibodies in-336 terfere with the vaccination. Nowadays, the established recommendation for piglets in Ecuador is a 337 primary vaccination at relatively early 45 days and revaccination every 6 months. This practice 338 might have to reassess once targeted sero-surveillance studies to clarify the effects of vaccination 339 ages and herd immune status.
- Maternal-derived antibody (MDA) interference is the most common factor affecting the induction of protective immunity against CSFV; in Thailand the vaccination program has been implemented for decades without achieving eradication (49). In addition, emergency vaccination protocols implemented in very young piglets, especially during an outbreak, could be further analysed. It would be necessary to evaluate diagnostic tools (rapid test) (50) that could detect non clinical, persistent CSF forms in the field, as well as apply vaccination serological monitoring tools (51).
- 346 Cases occurred on farms that recorded vaccination, this could be related to illegal movements of
- 347 unvaccinated animals (52); and, they could also be a result of vaccination failures due to poor
- handling and malpractice as evidenced in Colombia (4,19). The risk associated with temperature in
- 349 the spatiotemporal analysis, could be related to vaccination failures, as the vaccine cold-chain in
- 350 regions such as the Amazon and the Coastal with average temperatures above 20 degrees
- 351 Celsius, which corroborates that vaccination strategies alone are not sufficient to eradicate the dis-

ease (53,54); possible antigenic alteration due to vaccination pressure and their effects on disease
epidemiology could also be further analysed (55,56).

354 The identified individual parish risk could help identify neglected territories; as in many developing

- 355 countries with limited resources for disease control, prioritisation is often done on the basis of his-
- 356 toric surveillance information; therefore, reduced surveillance sensitivity may leave areas of high
- 357 risk unnoticed. Our model included all parishes and considered the influence of their neighbours to
- 358 improve the predictions (57). Concepts such as spatial RR or excess risk might be difficult to inter-
- 359 pret outside the scientific community, but the priority index (PI) could facilitate understanding and 360 communication of which parishes should be prioritised.
- 361 The identification of the risk factors should respond to the initial demand of the NVS and contribute
- 362 to the implementation of a risk-based surveillance strategy for CSF. As risk factors are specific for
- 363 each disease new studies could be implemented using depurated data and methodology for preval-
- 364 ent diseases where symptomatology could be confused with CSF such as the porcine reproductive
- and respiratory syndrome (58,59), also prepare the surveillance system for re-emerging diseases
- 366 such as African swine fever, currently detected in Central America (60,61).
- 367 In total, the results indicate once again the complexity a CSF control program is facing, particularly
- 368 if the pig sector is diverse and comprises a large share of farms falling under the subsistence or
- 369 backyard category. Here, NVS faces risky production methods combined with reduced knowledge
- 370 on disease prevention and compliance with sanitary regulations.

371 Conflict of interest

372 The authors declare no conflicts of interest.

373 Author contributions

374 A. Acosta, F. Ferreira and K. Depner conceived the study; C. Imbacuan and L. Burbano coordin-

375 ated the data collection; K. Dietze, G. Osowski and A. Acosta curated the data and wrote the ma-

- 376 nuscript; O. Baquero participated in the spatiotemporal analysis; A. Acosta conducted data pro-
- 377 cessing and coding; All authors discussed the results and critically reviewed the final manuscript.

378 Funding

- 379 The research at the Friedrich Loeffler Institut was supported by the Research Internship Grant N°
- 380 2020/11711-9, and regular funding in Brazil by the Grant N° 2017/22912-2 both from the São
- 381 Paulo Research Foundation (FAPESP).

383 Acknowledgements

- 384 The authors would like to thank Dr. Javier Vargas for his leadership in the implementation of the
- 385 national swine health project, Dr. Stalin Vasquez for internal coordination and the National Veterin-
- 386 ary Service for their collaboration and data provision. The views expressed are those of the
- 387 author(s) and not necessarily of the Veterinary service or the Ecuadorian Ministry of Agriculture.

388 Data availability statement

- 389 The surveillance data contain private information of each Ecuadorian pig farmer and therefore can-
- 390 not be made available due to legal restrictions.

391 Ethics statement

- 392 The authors confirm that the ethical policies of the journal, as noted on the journal's author
- 393 guidelines page, have been adhered to. No ethical approval was needed as this work did not in-
- 394 volve animal sampling or questionnaire data collection by the researchers.

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